#### Edgar Filing: SAFEGUARD SCIENTIFICS INC - Form 3

#### SAFEGUARD SCIENTIFICS INC

Form 3 May 22, 2015

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Person(s) to Issuer

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SAFEGUARD SCIENTIFICS INC [SFE]  **FISHER STEPHEN** (Month/Day/Year) 05/20/2015 (Last) (First) (Middle) 4. Relationship of Reporting

435 DEVON PARK DRIVE, **BUILDING 800** 

10% Owner \_X\_ Director Officer \_Other (give title below) (specify below)

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

5. If Amendment, Date Original

Filed(Month/Day/Year)

Person

Form filed by More than One

Reporting Person

WAYNE, PAÂ 19087-1945

(City) (State) (Zip)

(Street)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

Ownership Form: Direct (D) or Indirect

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

(I)

SEC 1473 (7-02)

(I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) Form of Derivative Security or Exercise (Instr. 5) Derivative (Instr. 4) Price of Security: Derivative Date **Expiration Title** Amount or Security Direct (D) Number of Exercisable Date or Indirect Shares

(Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

FISHER STEPHEN 435 DEVON PARK DRIVE, BUILDING 800 ŠX ŠŠŠWAYNE, PAÂ 19087-1945

# **Signatures**

By: Deirdre Blackburn For: Stephen O5/22/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

No securities are beneficially owned

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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