

Edgar Filing: Claymore/Raymond James SB-1 Equity Fund - Form N-PX

Claymore/Raymond James SB-1 Equity Fund  
Form N-PX  
August 13, 2009

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act file number 811-21863  
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Claymore/Raymond James SB-1 Equity Fund  
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(Exact name of registrant as specified in charter)

2455 Corporate West Drive  
Lisle, IL 60532  
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(Address of principal executive offices) (Zip code)

J. Thomas Futrell  
2455 Corporate West Drive  
Lisle, IL 60532  
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(Name and address of agent for service)

Registrant's telephone number, including area code: (630) 505-3700  
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Date of fiscal year end: August 31  
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Date of reporting period: July 1, 2008 - June 30, 2009  
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Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. ss. 3507.

Item 1. Proxy Voting Record.

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\*\*\*\*\* FORM N-Px REPORT \*\*\*\*\*

ICA File Number: 811-21863  
Reporting Period: 07/01/2008 - 06/30/2009  
Claymore/Raymond James SB-1 Equity Fund

===== CLAYMORE/RAYMOND JAMES SB-1 EQUITY FUND =====

AVANEX CORP.

Ticker: AVNX Security ID: 05348W109  
Meeting Date: JUL 9, 2008 Meeting Type: Special  
Record Date: MAY 29, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Reverse Stock Split	For	For	Management

COGENT INC

Ticker: COGT Security ID: 19239Y108  
Meeting Date: JUL 24, 2008 Meeting Type: Annual  
Record Date: JUN 16, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Ming Hsieh	For	For	Management
1.2	Elect Director John C. Bolger	For	For	Management
1.3	Elect Director John P. Stenbit	For	For	Management
1.4	Elect Director Kenneth R. Thornton	For	For	Management
2	Ratify Auditors	For	For	Management

CONCURRENT COMPUTER CORP.

Ticker: CCUR Security ID: 206710204  
Meeting Date: JUL 8, 2008 Meeting Type: Special  
Record Date: MAY 9, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Reverse Stock Split	For	For	Management

MCKESSON CORPORATION

Ticker: MCK Security ID: 58155Q103  
Meeting Date: JUL 23, 2008 Meeting Type: Annual  
Record Date: MAY 30, 2008

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#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Andy D. Bryant	For	For	Management
2	Elect Director Wayne A. Budd	For	For	Management
3	Elect Director John H. Hammergren	For	For	Management
4	Elect Director Alton F. Irby III	For	For	Management
5	Elect Director M. Christine Jacobs	For	For	Management
6	Elect Director Marie L. Knowles	For	For	Management
7	Elect Director David M. Lawrence	For	For	Management
8	Elect Director Edward A. Mueller	For	For	Management
9	Elect Director James V. Napier	For	For	Management
10	Elect Director Jane E. Shaw	For	For	Management
11	Ratify Auditors	For	For	Management

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RF MICRO DEVICES, INC.

Ticker:           RFMD                   Security ID: 749941100  
Meeting Date: JUL 30, 2008   Meeting Type: Annual  
Record Date:   MAY 27, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Dr. Albert E. Paladino	For	For	Management
1.2	Elect Director Robert A. Bruggeworth	For	For	Management
1.3	Elect Director Daniel A. DiLeo	For	For	Management
1.4	Elect Director Jeffery R. Gardner	For	For	Management
1.5	Elect Director John R. Harding	For	For	Management
1.6	Elect Director Casimir S. Skrzypczak	For	For	Management
1.7	Elect Director Erik H. Van Der Kaay	For	For	Management
1.8	Elect Director W.H. Wilkinson, Jr.	For	For	Management
2	Ratify Auditors	For	For	Management

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TRINA SOLAR LTD.

Ticker:           TSL                       Security ID: 89628E104  
Meeting Date: AUG 29, 2008   Meeting Type: Annual  
Record Date:   JUL 22, 2008

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Reelect Junfeng Li as a Director	For	For	Management
2	Reelect Liping Qiu as a Director	For	For	Management
3	Elect Sean Hsiyuan Tzou as a Director	For	For	Management
4	Increase Number of Shares Reserved Under the Company's Share Incentive Plan from 102,718,350 Ordinary Shares to 202,718,350 Ordinary Shares	For	For	Management
5	Appoint Deloitte Touche Tohmatsu as Auditors for Fiscal Year 2008	For	For	Management

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XILINX, INC.

Ticker:           XLNX                       Security ID: 983919101  
Meeting Date: AUG 14, 2008   Meeting Type: Annual  
Record Date:   JUN 16, 2008

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#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Willem P. Roelandts	For	For	Management
1.2	Elect Director Moshe N. Gavriellov	For	For	Management
1.3	Elect Director John L. Doyle	For	For	Management
1.4	Elect Director Jerald G. Fishman	For	For	Management
1.5	Elect Director Philip T. Gianos	For	For	Management
1.6	Elect Director William G. Howard, Jr.	For	For	Management
1.7	Elect Director J. Michael Patterson	For	For	Management
1.8	Elect Director Marshall C. Turner	For	For	Management
1.9	Elect Director E.W. Vanderslice	For	For	Management
2	Amend Qualified Employee Stock Purchase Plan	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Ratify Auditors	For	For	Management

===== END NPX REPORT

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Claymore/Raymond James SB-1 Equity Fund

By: /s/ J. Thomas Futrell  
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Name: J. Thomas Futrell  
Title: Chief Executive Officer  
Date: August 13, 2009