

Taber Terry R  
Form 4  
September 06, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Taber Terry R

(Last) (First) (Middle)

EASTMAN KODAK  
COMPANY, 343 STATE STREET

(Street)

ROCHESTER, NY 14650

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

EASTMAN KODAK CO [KODK]

3. Date of Earliest Transaction  
(Month/Day/Year)

09/03/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

Senior Vice President

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.01	09/03/2017		M	3,051 A	\$ 0 (1) 24,739	D	
Common Stock, par value \$.01	09/03/2017		F	1,128 (2) D	\$ 7.8 23,611	D	
Common Stock, par value \$.01	09/03/2017		M	5,268 A	\$ 0 (3) 28,879	D	
Common Stock, par	09/03/2017		F	1,948 (2) D	\$ 7.8 26,931	D	

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value \$.01

Common Stock, par value \$.01	09/03/2017	M	4,653	A	<u>\$ 0</u> (4)	31,584	D
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Common Stock, par value \$.01	09/03/2017	F	<u>1,721</u> (2)	D	\$ 7.8	29,863	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Restricted Stock Units	\$ 0 <u>(1)</u>	09/03/2017		M	3,051	<u>(1)</u> 09/03/2017	Common Stock, par value \$.01 3,051
Restricted Stock Units	\$ 0 <u>(3)</u>	09/03/2017		M	5,268	<u>(3)</u> 09/03/2018	Common Stock, par value \$.01 5,268
Restricted Stock Units	\$ 0 <u>(4)</u>	09/03/2016		M	4,653	<u>(4)</u> 09/03/2019	Common Stock, par value \$.01 4,653
Stock Option (Right to Buy)	\$ 23.78					<u>(5)</u> 09/02/2021	Common Stock, par value \$.01 27,567
Stock Option	\$ 13.76					<u>(6)</u> 09/02/2022	Common Stock, par 37,827

(Right to Buy)						value \$.01	
Stock Option (Right to Buy)	\$ 15.58	(7)	09/02/2023			Common Stock, par value \$.01	36,927
125% Warrants to purchase Common Stock, par value \$.01	\$ 14.93	09/03/2013	09/03/2018			Common Stock, par value \$.01	611 (8)
135% Warrants to purchase Common Stock, par value \$.01	\$ 16.12	09/03/2013	09/03/2018			Common Stock, par value \$.01	611 (8)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Taber Terry R EASTMAN KODAK COMPANY 343 STATE STREET ROCHESTER, NY 14650			Senior Vice President	

## Signatures

/s/ Sharon E. Underberg, Attorney-in-fact for Terry R. Taber 09/06/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 9/3/2014 grant date.
  - Shares withheld to cover tax withholding obligations upon the vesting of restricted stock units.
  - These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 9/3/2015 grant date.
  - These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 9/3/2016 grant date.
  - This option vests one-third on each of the first three anniversaries of the 9/3/2014 grant date.

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- (6) This option vests one-third on each of the first three anniversaries of the 9/3/2015 grant date.
- (7) This option vests one-third on each of the first three anniversaries of the 9/3/2016 grant date.

Each of these Warrants entitles the holder to purchase one share of common stock; however for each Warrant exercised, the holder will

- (8) receive a net share amount equal to the number of shares issuable upon the exercise multiplied by the closing sale price of the common stock on the exercise date minus the exercise price, divided by the closing sale price, together with cash for any fractional shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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