

ESL INVESTORS LLC
Form 4
April 13, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LAMPERT EDWARD S

(Last) (First) (Middle)

200 GREENWICH AVENUE

(Street)

GREENWICH, CT 06830

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AUTONATION, INC. [AN]

3. Date of Earliest Transaction
(Month/Day/Year)

04/09/2010

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___X___ 10% Owner
___ Officer (give title below) ___ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

___ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, par value \$0.01 per share	04/09/2010		P		137,143	A	\$ 18.2887 (2)
							58,986,184
							I
							See Footnotes (1) (10)
Common Stock, par value \$0.01 per share	04/12/2010		P		134,331	A	\$ 18.2963 (4)
							59,120,515
							I
							See Footnotes (1) (10)
Common Stock, par	04/13/2010		P		320,985	A	\$ 18.2891
							59,441,500
							I
							See Footnotes

Edgar Filing: ESL INVESTORS LLC - Form 4

value				<u>(5)</u>				<u>(1)</u> <u>(10)</u>
\$0.01 per share								
Common Stock, par value	04/09/2010	P	282,034	A	\$ <u>(2)</u>	12,784,065	I	See Footnotes <u>(3)</u> <u>(10)</u>
\$0.01 per share								
Common Stock, par value	04/12/2010	P	24,369	A	\$ <u>(4)</u>	12,808,434	I	See Footnotes <u>(3)</u> <u>(10)</u>
\$0.01 per share								
Common Stock, par value	04/13/2010	P	73,967	A	\$ <u>(5)</u>	12,882,401	I	See Footnotes <u>(3)</u> <u>(10)</u>
\$0.01 per share								
Common Stock, par value						6,704	I	See Footnotes <u>(6)</u> <u>(10)</u>
\$0.01 per share								
Common Stock, par value						5,712,083	I	See Footnotes <u>(7)</u> <u>(10)</u>
\$0.01 per share								
Common Stock, par value						51,880	I	See Footnotes <u>(8)</u> <u>(10)</u>
\$0.01 per share								
Common Stock, par value						2,759,949	D <u>(9)</u> <u>(10)</u>	
\$0.01 per share								

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: ESL INVESTORS LLC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
--	--	--------------------------------------	--	--------------------------------	---	--	---	--	--

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LAMPERT EDWARD S 200 GREENWICH AVENUE GREENWICH, CT 06830		X		
ESL INVESTMENTS INC 200 GREENWICH AVENUE GREENWICH, CT 06830		X		
RBS PARTNERS L P /CT 200 GREENWICH AVENUE GREENWICH, CT 06830		X		
ESL PARTNERS, L.P. 200 GREENWICH AVENUE GREENWICH, CT 06830		X		
ESL INVESTORS LLC 200 GREENWICH AVENUE GREENWICH, CT 06830		X		

Signatures

Edward S. Lampert	04/13/2010
**Signature of Reporting Person	Date
Adrian J. Maizey, as Chief Financial Officer of ESL Investments, Inc.	04/13/2010
**Signature of Reporting Person	Date
Adrian J. Maizey, as Chief Financial Officer of ESL Investments, Inc., the general partner of RBS Partners, L.P.	04/13/2010
**Signature of Reporting Person	Date
Adrian J. Maizey, as Chief Financial Officer of ESL Investments, Inc., the general partner of RBS Partners, L.P., the general partner of ESL Partners, L.P.	04/13/2010

Edgar Filing: ESL INVESTORS LLC - Form 4

__Signature of Reporting Person

Date

Adrian J. Maizey, as Chief Financial Officer of ESL Investments, Inc., the general partner of RBS Partners, L.P., the managing member of ESL Investors, L.L.C.

04/13/2010

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares of common stock, par value \$0.01 per share ("Shares"), of AutoNation, Inc. (the "Issuer") are held by ESL Partners, L.P. ("Partners").
- (2) This price represents the approximate weighted average price per Share of purchases that were executed at prices ranging from approximately \$18.25 to \$18.30 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares purchased at each price.
- (3) These Shares are held in an account established by the investment member of ESL Investors, L.L.C. ("Investors").
- (4) This price represents the approximate weighted average price per Share of purchases that were executed at prices ranging from approximately \$18.25 to \$18.30 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares purchased at each price.
- (5) This price represents the approximate weighted average price per Share of purchases that were executed at prices ranging from approximately \$18.19 to \$18.30 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares purchased at each price.
- (6) These Shares are held by ESL Institutional Partners, L.P. ("Institutional").
- (7) These Shares are held by CBL Partners, L.P. ("CBL").
- (8) These Shares are held in a grantor retained annuity trust, of which Edward S. Lampert is the trustee.
- (9) These Shares are held by Mr. Lampert.
- (10) This Form 4 is filed on behalf of Mr. Lampert, ESL Investments, Inc. ("Investments"), RBS Partners, L.P. ("RBS"), Partners and Investors (collectively, the "Reporting Persons"). RBS is the general partner of Partners and the managing member of Investors. RBS Investment Management, L.L.C. ("RBSIM") is the general partner of Institutional. Investments is the general partner of RBS and CBL and the manager of RBSIM. Mr. Lampert is the Chairman, Chief Executive Officer and Director of Investments.

Remarks:

Pursuant to Rule 16a-1(a)(2)(ii)(B) under the Securities Exchange Act of 1934, as amended (the "Act"), each of the Reporting

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.