

Edgar Filing: AMERICAN WATER WORKS CO INC - Form SC 13G

AMERICAN WATER WORKS CO INC
Form SC 13G
February 15, 2002

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No. 6) *

AMERICAN WATER WORKS CO., INC.

(Name of Issuer)

COMMON STOCK

(Title of Class of Securities)

03041110 2

(CUSIP Number)

December 31, 2001

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☒ Rule 13d-1(b)
☐ Rule 13d-1(c)
☐ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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CUSIP No. 030411102

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1	NAME OF REPORTING PERSON S.S. or I.R.S. IDENTIFICATION NO. OF ABOVE PERSON THE BESSEMER GROUP, INCORPORATED* 13-3093730
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* (a) ☐ (b) ☒
3	SEC USE ONLY
4	CITIZENSHIP OR PLACE OF ORGANIZATION Delaware
	5 SOLE VOTING POWER
NUMBER OF	-0-
SHARES BENEFICIALLY OWNED BY	6 SHARED VOTING POWER 6,206,654 shs.
EACH REPORTING PERSON	7 SOLE DISPOSITIVE POWER -0-
WITH	8 SHARED DISPOSITIVE POWER 6.206,654 shs.
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 6,206,654 shs.
10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES* []
11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 6.2%
12	TYPE OF REPORTING PERSON* HC

*The shares reported on this page are the aggregate of the shares reported on pages 3, 4 and 5, as The Bessemer Group, Incorporated is the parent of the other reporting persons.

*SEE INSTRUCTIONS BEFORE FILLING OUT!

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1	NAME OF REPORTING PERSON S.S. or I.R.S. IDENTIFICATION NO. OF ABOVE PERSON		
	BESSEMER TRUST COMPANY 22-0770670		

2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* (a) ☒ (b) ☐		

3	SEC USE ONLY		

4	CITIZENSHIP OR PLACE OF ORGANIZATION New Jersey		

	5	SOLE VOTING POWER	
NUMBER OF		4,066,774 shs.	

SHARES	6	SHARED VOTING POWER	
BENEFICIALLY		-0-	
OWNED BY	-----		
EACH	7	SOLE DISPOSITIVE POWER	
REPORTING		4,066,774 shs.	
PERSON	-----		
WITH	8	SHARED DISPOSITIVE POWER	
		-0-	

9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 4,066,774 shs.		

10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES* []		

11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 4.1%		

12	TYPE OF REPORTING PERSON* BK		

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1	NAME OF REPORTING PERSON S.S. or I.R.S. IDENTIFICATION NO. OF ABOVE PERSON BESSEMER TRUST COMPANY, N.A. 13-2792165		

2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* (a) ☒ (b) ☐		

3	SEC USE ONLY		

4	CITIZENSHIP OR PLACE OF ORGANIZATION New Jersey		

	5	SOLE VOTING POWER	
NUMBER OF		800 shs.	

SHARES	6	SHARED VOTING POWER	
BENEFICIALLY		-0-	
OWNED BY	-----		
EACH	7	SOLE DISPOSITIVE POWER	
REPORTING		800 shs.	
PERSON	-----		
WITH	8	SHARED DISPOSITIVE POWER	
		-0-	

9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 800 shs.		

10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES* []		

11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 0.0%		

12	TYPE OF REPORTING PERSON* BK		

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1	NAME OF REPORTING PERSON S.S. or I.R.S. IDENTIFICATION NO. OF ABOVE PERSON BESSEMER TRUST COMPANY OF FLORIDA 59-6067333		

2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* (a) ☒ (b) ☐		

3	SEC USE ONLY		

4	CITIZENSHIP OR PLACE OF ORGANIZATION Florida		

	5	SOLE VOTING POWER	
		NUMBER OF 2,138,980 shs.	

	6	SHARED VOTING POWER	
		SHARES BENEFICIALLY OWNED BY 100 shs.	

	7	SOLE DISPOSITIVE POWER	
		EACH REPORTING PERSON 2,138,980 shs.	

	8	SHARED DISPOSITIVE POWER	
		WITH 100 shs.	

9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 2,139,080 shs.		

10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES* []		

11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 2.1%		

12	TYPE OF REPORTING PERSON* BK		

*SEE INSTRUCTIONS BEFORE FILLING OUT!

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Item 1.

(a) Name of Issuer:

American Water Works Co., Inc.

(b) Address of Issuer's Principal Executive Offices:

1025 Laurel Oak Road
P.O. Box 1770
Voorhees, NJ 08043

Item 2.

(a), (b) and (c) Name of Persons Filing, Address of Principal Business

Office and Citizenship:

The Bessemer Group, Incorporated ("BGI") as a parent holding company and Bessemer Trust Company ("BTC"), Bessemer Trust Company, N.A. ("BTNA") and Bessemer Trust Company of Florida ("BTF") as a group. The filing of this statement by BTC, BTNA and BTF as part of a group does not constitute an admission that any of BTC, BTNA or BTF controls any other of the other reporting persons. BTC, BTNA and BTF are each wholly-owned by BGI.

BTC, BTNA and BTF are each trust companies that manage accounts for the benefit of others. The holders of the securities referred to in this statement are trusts for the benefit of clients of BTC or BTF, of which BTC or BTF are trustees or co-trustees, and accounts managed by BTNA or BTF.

BGI and BTC each has its principal business office at 100 Woodbridge Center Drive, Woodbridge, New Jersey 07095-0980. BTNA has its principal office at 630 Fifth Avenue, New York, New York 10111. BTF has its principal office at 222 Royal Palm Way, Palm Beach, Florida 33480-4394.

BGI is a corporation organized under the laws of Delaware. BTC is a bank organized under the laws of New Jersey. BTNA is a national bank organized under the laws of the United States of America. BTF is a trust company organized under the laws of Florida.

(d) Title of Class of Securities:

Common Stock

(e) CUSIP Number:

030411 10 2

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Item 3. If this statement is filed pursuant to Rule 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a:

(a) through (f), (h) and (i) not applicable.

(g) ☒ Parent holding company or control person, in accordance with Rule 13d-1(b)(1)(ii)(G), as to BGI.

(j) ☒ Group, in accordance with Rule 13d-1(b)(1)(ii)(J), as to BTC, BTNA and BTF.

Item 4. Ownership

Items 5 through 9 and Item 11 of Pages 2 through 5 of this Statement are incorporated herein by reference.

Item 5. Ownership of Five Percent or Less of a Class

Not applicable.

Item 6. Ownership of More than Five Percent on Behalf of Another Person

Not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company

Items 1 and 12 of pages 3 through 5 of this Statement are incorporated herein by reference.

Item 8. Identification and Classification of Members of the Group

BTC, BTNA and BTF are each banks as defined in Section 3(a)(6) of the Securities Exchange Act of 1934, as amended.

Item 9. Notice of Dissolution of Group

Not applicable.

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Item 10. Certification

By signing below, the undersigned certify that, to the best of the knowledge and belief of the undersigned, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of such securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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Item 11. Signatures

After reasonable inquiry and to the best of our knowledge and belief, we certify that the information set forth in this statement is true, complete and correct.

Dated: February 14, 2002

THE BESSEMER GROUP, INCORPORATED

By: /s/ Richard R. Davis

Name: Richard R. Davis
Title: Managing Director

BESSEMER TRUST COMPANY

By: /s/ Richard R. Davis

Name: Richard R. Davis
Title: Managing Director

BESSEMER TRUST COMPANY, N.A.

By: /s/ Richard R. Davis

Name: Richard R. Davis
Title: Managing Director

BESSEMER TRUST COMPANY OF FLORIDA

By: /s/ Richard R. Davis

Name: Richard R. Davis,
Title: Managing Director