

KAYNE RICHARD A  
Form 4  
October 25, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KAYNE RICHARD A

2. Issuer Name and Ticker or Trading Symbol  
Kayne Anderson MLP/Midstream Investment Co [KYN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
C/O KAYNE ANDERSON  
CAPITAL ADVISORS LP, 1800  
AVENUE OF THE STARS, THIRD  
FLOOR

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/22/2018

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  10% Owner  
\_\_\_\_ Other (specify below)  
SEE NOTES (1) AND (2)

(Street)  
LOS ANGELES, CA 90067

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
COMMON STOCK	10/22/2018		J <sup>(1)</sup>		152,445	A	<u>(1)</u> <u>(2)</u>
COMMON STOCK	10/22/2018		J <sup>(1)</sup>		303,568	D	<u>(1)</u> <u>(2)</u>

SEE NOTES (1) (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form**

SEC 1474 (9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

KAYNE RICHARD A  
C/O KAYNE ANDERSON CAPITAL ADVISORS LP  
1800 AVENUE OF THE STARS, THIRD FLOOR  
LOS ANGELES, CA 90067

SEE NOTES (1) AND (2)

KAYNE ANDERSON CAPITAL ADVISORS LP  
1800 AVENUE OF THE STARS, THIRD FLOOR  
LOS ANGELES, CA 90067

SEE NOTE (2)

KA Fund Advisors LLC  
C/O KAYNE ANDERSON CAPITAL ADVISORS, L.P.  
1800 AVENUE OF THE STARS, THIRD FLOOR  
LOS ANGELES, CA 90067

SEE NOTE (2)

## Signatures

/S/ DAVID SHLADOVSKY BY POWER OF ATTORNEY FOR RICHARD A. KAYNE

10/22/2018

\_\_Signature of Reporting Person

Date

/S/ DAVID SHLADOVSKY BY POWER OF ATTORNEY FOR KAYNE ANDERSON CAPITAL ADVISORS, L.P.

10/22/2018

\_\_Signature of Reporting Person

Date

/S/ DAVID SHLADOVSKY BY POWER OF ATTORNEY FOR KA FUND ADVISORS, LLC

10/22/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) SHARES DISTRIBUTED BY KAYNE ANDERSON CAPITAL ADVISORS, L.P. ("KACALP") TO ITS LIMITED PARTNERS (ON A PRO RATA BASIS.). AFTER GIVING EFFECT TO THAT DISTRIBUTION, KACALP OWNED NO SHARES OF KYN.

(2) A TOTAL OF 11,235 SHARES ARE OWNED BY KA ASSOCIATES, INC. ("KAA.") RICHARD KAYNE IS THE MAJORITY OWNER OF KAA. A TOTAL OF 86 SHARES ARE OWNED BY KA FUND ADVISORS, LLC ("KAFA.") MR. KAYNE IS THE INDIRECT MAJORITY OWNER OF KAFA. MR. KAYNE DISCLAIMS BENEFICIAL OWNERSHIP OF ALL SHARES HELD OR CONTROLLED BY KAA AND KAFA EXCEPT TO THE EXTENT OF HIS PECUNIARY INTEREST THEREIN.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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