Edgar Filing: INTL FCSTONE INC. - Form 8-K

INTL FCSTONE INC. Form 8-K July 05, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

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FORM 8-K CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of report: July 5, 2016

Date of earliest event reported: July 5, 2016

INTL FCStone Inc.

(Exact Name of Registrant as Specified in Charter)

Delaware 000-23554 59-2921318

(State or Other Jurisdiction of Incorporation) (Commission File No.) (IRS Employer Id. No.)

708 Third Avenue, Suite 1500, New York, New York
(Address of Principal Executive Offices)
10017
(Zip Code)

Registrant's telephone number, including area code: (212) 485-3500

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Witten communications pursuant to Rule 123 alanda Fellows A 4 (17 CFR 240.14.12)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 7.01. Regulation FD Disclosure.

On July 5, 2016, INTL FCStone Inc. issued a press release regarding the closing on the acquisition of the Sterne Agee entities. A copy of the press release is furnished with this report as Exhibit 99.1.

The information under this Item and Exhibit 99.1 is being furnished pursuant to General Instruction B.2 of Form 8-K, and neither the information in this Item nor Exhibit 99.1 shall be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liability of that section.

Item 9.01. Financial Statements and Exhibits.

Exhibit 99.1 Press release dated as of July 5, 2016.

## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

INTL FCStone Inc.

Date: July 5, 2016 By: /s/ William J. Dunaway\_\_\_\_\_

William J. Dunaway, its Chief Financial Officer

**Exhibit Index** 

Exhibit No. Description of Document

Press release dated as of July 5, 2016.

Exhibit 99.1