

SANDFORT GREGORY A
Form 4
September 05, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SANDFORT GREGORY A

2. Issuer Name and Ticker or Trading Symbol
TRACTOR SUPPLY CO /DE/ [TSCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
09/04/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Chief Executive Officer

C/O TRACTOR SUPPLY COMPANY, 5401 VIRGINIA WAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

BRENTWOOD, TN 37027

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|
| | | | | Code V | Amount | Price | | |
| Common stock | 09/04/2018 | | M ⁽¹⁾ | A | \$ 54,844 | 13.1038 | 118,845 | D |
| Common stock | 09/04/2018 | | S ⁽¹⁾ | D | \$ 54,844 | 90.29 | 64,001 | D |
| Common stock | 09/04/2018 | | M ⁽¹⁾ | A | \$ 25,896 | 25.8475 | 89,897 | D |
| Common stock | 09/04/2018 | | S ⁽¹⁾ | D | \$ 25,896 | 90.27 | 64,001 | D |
| Common stock | 09/04/2018 | | M ⁽¹⁾ | A | \$ 22,636 | 42.54 | 86,637 | D |

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| | | | | | | | | |
|--------------|------------|--------------|--------|--------------|-----------|---------|---|---------------------|
| Common stock | 09/04/2018 | <u>S</u> (1) | 22,636 | <u>D</u> (1) | \$ 90.28 | 64,001 | D | |
| Common stock | 09/04/2018 | <u>M</u> (1) | 37,333 | <u>A</u> (1) | \$ 51.495 | 101,334 | D | |
| Common stock | 09/04/2018 | <u>S</u> (1) | 37,333 | <u>D</u> (1) | \$ 90.28 | 64,001 | D | |
| Common stock | | | | | | 86,296 | I | LLC I |
| Common stock | | | | | | 105,855 | I | LLC II |
| Common stock | | | | | | 37,420 | I | LLC III |
| Common stock | | | | | | 1,092 | I | Stock Purchase Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee stock option | \$ 13.1038 | 09/04/2018 | | M | 54,844 | (2) | 02/03/2020 | Common stock | 54,844 |
| Employee stock option | \$ 25.8475 | 09/04/2018 | | M | 25,896 | (3) | 02/02/2021 | Common stock | 25,896 |
| Employee stock option | \$ 42.54 | 09/04/2018 | | M | 22,636 | (4) | 02/08/2022 | Common stock | 22,636 |
| | \$ 51.495 | 09/04/2018 | | M | 37,333 | (5) | 02/07/2023 | | 37,333 |

Employee
stock
optionCommon
stock

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| SANDFORT GREGORY A C/O TRACTOR SUPPLY COMPANY 5401 VIRGINIA WAY BRENTWOOD, TN 37027 | X | | Chief Executive Officer | |

Signatures

Gregory A. Sandfort by: /s/ Benjamin F. Parrish Jr., as
Attorney-in-fact

09/05/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was made pursuant to a 10b5-1 plan established by Mr. Sandfort on August 1, 2018.
 - (2) Granted pursuant to the 2009 Stock Incentive Plan. In general, the shares subject to this option vested and became exercisable as follows: 33 1/3% on February 3, 2011, 33 1/3% on February 3, 2012 and 33 1/3% on February 3, 2013.
 - (3) Granted pursuant to the 2009 Stock Incentive Plan. In general, the shares subject to this option vested and became exercisable as follows: 33 1/3% on February 2, 2012, 33 1/3% on February 2, 2013 and 33 1/3% on February 2, 2014.
 - (4) Granted pursuant to the 2009 Stock Incentive Plan. In general, the shares subject to this option vested and became exercisable as follows: 33 1/3% on February 8, 2013, 33 1/3% on February 8, 2014, and 33 1/3% on February 8, 2015.
 - (5) Granted pursuant to the 2009 Stock Incentive Plan. In general, the shares subject to this option vested and became exercisable as follows: 33 1/3% on February 7, 2014, 33 1/3% on February 7, 2015, and 33 1/3% on February 7, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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