### Edgar Filing: ADPT Corp - Form 4

ADPT Corp Form 4 september 09, 2017 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Subject to StatEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).								
(Print or Type Respons	ses)							
1. Name and Address SPH Group Holdi	2. Issuer Name and Symbol ADPT Corp [AD		rading	Issuer	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (F C/O STEEL PAR HOLDINGS L.P., AVENUE, 32ND	3. Date of Earliest Tr (Month/Day/Year) 09/07/2011	ransaction		Director	DirectorX 10% Owner Officer (give title Other (specify			
(S	4. If Amendment, Day/Year	-		Applicable Line)	Form filed by One Reporting Person			
NEW YORK, NY 10022X_Form filed by More than One Reporting Person						eporting		
(City) (State) (Zip) <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>								
	nnsaction Date 2A. Dee th/Day/Year) Executio any (Month/		Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or (A) (A) (A) (Instr. 3 and 4) (Code) (Code) (Instr. 8) (Code) (Instr. 8) (Code) (Instr. 8) (Code) (Instr. 8) (Code) (Instr. 8) (Code) (Instr. 8) (Code) (Instr. 8) (Code) (Code) (Instr. 8) (Code) (Co		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock, par value \$0.001 ( <u>1)</u>	7/2011	Р	31,000	A \$ 2.8		D (2)		
Common Stock, par value \$0.001 ( <u>1)</u>	8/2011	Р	161,000	A \$2.8	40,015,772	D (2)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
SPH Group Holdings LLC C/O STEEL PARTNERS HOLDINGS L.P. 590 MADISON AVENUE, 32ND FLOOR NEW YORK, NY 10022		Х			
STEEL PARTNERS HOLDINGS L.P. 590 MADISON AVENUE, 32ND FLOOR NEW YORK, NY 10022		Х			
Steel Partners LLC C/O STEEL PARTNERS HOLDINGS L.P. 590 MADISON AVENUE, 32ND FLOOR NEW YORK, NY 10022		Х			
LICHTENSTEIN WARREN G C/O STEEL PARTNERS HOLDINGS L.P. 590 MADISON AVENUE, 32ND FLOOR NEW YORK, NY 10022	Х	Х	President of Subsidiary		
SPH Group LLC C/O STEEL PARTNERS HOLDINGS L.P. 590 MADISON AVENUE, 32ND FLOOR NEW YORK, NY 10022		Х			

## Signatures

By: Steel Partners Holdings L.P., By: Steel Partners Holdings GP Inc., General Partner, By: /s/ Jack L. Howard, President	09/09/2011				
**Signature of Reporting Person	Date				
By: SPH Group Holdings LLC, By: Steel Partners Holdings GP Inc., Manager, By: /s/ Jack L. Howard, President	09/09/2011				
**Signature of Reporting Person	Date				
By: Steel Partners LLC, By: /s/ Jack L. Howard, President	09/09/2011				
**Signature of Reporting Person	Date				
By: /s/ Jack L. Howard, as Attorney In Fact for Warren G. Lichtenstein					
**Signature of Reporting Person	Date				
By: SPH Group LLC, By: Steel Partners Holdings GP Inc., Managing Member, By: /s/ Jack L. Howard, President	09/09/2011				
**Signature of Reporting Person	Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 4 is filed jointly by Steel Partners Holdings L.P. ("Steel Holdings"), SPH Group LLC ("SPHG"), SPH Group Holdings LLC
(1) ("SPHG Holdings"), Steel Partners LLC ("Partners LLC") and Warren G. Lichtenstein. Steel Holdings, SPHG, Partners LLC and Mr. Lichtenstein may be deemed to be 10% owners of the Issuer and SPHG Holdings is a 10% owner of the Issuer.

Shares owned directly by SPHG Holdings, and owned indirectly by SPHG by virtue of it being the sole member of SPHG Holdings, by Steel Holdings by virtue of its ownership of 99% of the membership interests of SPHG, by Partners LLC by virtue of it being the

(2) manager of Steel Holdings and by Mr. Lichtenstein by virtue of his position as the manager of Partners LLC. SPHG, Steel Holdings, Partners LLC and Mr. Lichtenstein disclaim beneficial ownership of the shares owned by SPHG Holdings except to the extent of their pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.