

Edgar Filing: DATA RACE INC - Form 3

DATA RACE INC
Form 3
February 12, 2001

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/ OMB APPROVAL /
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| FORM 3 | U.S. SECURITIES AND EXCHANGE COMMISSION
+-----+ WASHINGTON, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person*

Frohman Bradley

(Last) (First) (Middle)

6509 Windcrest, Suite 120

(Street)

Plano Texas 75024

(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year) 06/13/00

3. IRS Identification Number of Reporting Person if an Entity
(Voluntary)

4. Issuer Name and Ticker or Trading Symbol Data Race, Inc. ("RACE")

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)

 Director Officer 10% Owner Other
--- (give title below) (specify below)

Vice President of Engineering and Development

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6. If Amendment, Date of Original (Month/Day/Year) _____

7. Individual or Joint Group Filing (Check Applicable Line)
- X Form Filed by One Reporting Person

 ____ Form filed by More than One Reporting Person

TABLE I--NON-DERIVATIVE SECURITIES BENEFICIALLY OWNED

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
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Form 3 (continued)

TABLE II--DERIVATIVE SECURITIES BENEFICIALLY OWNED
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative |
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| Date Exer- cisable | Expira- tion Date | Title | Amount or Number of Shares | Security |
|--------------------------|-------------------------|-------|----------------------------------|----------|
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Explanation of Responses:

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|---------------------------------|--------|
| /s/ Bradley Frohman | 2/5/01 |
| **Signature of Reporting Person | Date |

* If the form is filed by more than one reported person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.