Edgar Filing: STATE STREET CORP - Form 4

STATE STR	REET CORP									
Form 4	• • • •									
October 02,										
FORM	14 UNITED	STATES SECU	IDITIES A	ND EV		NCEC	OMMISSION		PROVAL	
	UNITED		ashington,			NGE U	OWINII55ION	OMB Number:	3235-0287 January 31	
Check th if no long subject to Section 1 Form 4 c	ger STATEN 16.	x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								
Form 5 obligatio may cont See Instr 1(b).	ns Section 17((a) of the Public 30(h) of the	Utility Hold	ding Con	npany	Act of	1935 or Section	1		
(Print or Type l	Responses)									
1. Name and Address of Reporting Person <u>*</u> CARP JEFFREY N			2. Issuer Name and Ticker or Trading Symbol STATE STREET CORP [STT]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (Middle) 3. Date	of Earliest Ti	ransaction			(Cneck	c all applicable)	
STATE STI CORPORA STREET	REET TION, ONE LIN	10/01	1/Day/Year) /2015				Director X Officer (give below) EVP, CI		Owner er (specify ury	
	(Street)	(Street) 4. If Amer Filed(Mon					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
BOSTON, I	MA 02111						Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip) Ta	ble I - Non-E	Derivative	Secur	ities Acq	uired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Data (Month/Day/Year)		Code	4. Securities Acquired or(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 2 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
~			Code V	Amount	(D)	Price \$	(Instr. 3 and 4)			
Common Stock	10/01/2015		S <u>(1)</u>	24,000	D	66.72 (2)	395,338 <u>(3)</u>	D		
Common Stock	10/01/2015		S <u>(1)</u>	1,000	D	\$ 67.1 (4)	394,338 <u>(4)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Titl Amou Under Secur (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address			Relationships			
FB	Director	10% Owner	Officer	Other		
CARP JEFFREY N STATE STREET CORPORATION ONE LINCOLN STREET BOSTON, MA 02111			EVP, CLO and Secretary			
Signatures						
/s/ Shannon C. Stanley, Attorney-in-fact		10/02/2015				

Explanation of Responses:

**Signature of Reporting Person

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 17, 2012.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$66.080 through \$67.075, inclusive. The reporting person undertakes to provide to State Street Corporation, any security holder of State

- (2) Street Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this Form 4.
- (3) The balance reflects the amount of shares beneficially owned, including shares received due to dividend reinvestment, as of the date of this report.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$67.080 through \$67.150, inclusive. The reporting person undertakes to provide to State Street Corporation, any security holder of State

(4) Street Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.