

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

FLAHERTY & CRUMRINE TOTAL RETURN FUND INC  
Form NSAR-B  
January 28, 2015

PAGE 1  
000 B000000 11/30/2014  
000 C000000 1245648  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FLAHERTY & CRUMRINE TOTAL RETURN FUND  
001 B000000 811-21380  
001 C000000 6267957300  
002 A000000 301 E. COLORADO BOULEVARD, SUITE 720  
002 B000000 PASADENA  
002 C000000 CA  
002 D010000 91101  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 FLAHERTY & CRUMRINE INCORPORATED  
008 B000001 A  
008 C000001 801-19384  
008 D010001 PASADENA  
008 D020001 CA  
008 D030001 91101  
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000001 84-1761  
010 C010001 WESTBOROUGH  
010 C020001 MA  
010 C030001 01581  
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
012 B000001 84-1761  
012 C010001 WESTBOROUGH  
012 C020001 MA  
012 C030001 01581  
012 A000002 Destra Capital Investment LLC  
012 B000002 84-68006  
012 C010002 Chicago  
012 C020002 IL  
012 C030002 60606  
013 A000001 KPMG LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02110  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C  
015 C010001 NEW YORK  
PAGE 2  
015 C020001 NY  
015 C030001 10286  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 MCADAM WRIGHT RAGEN

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020 B000001 91-1920002  
020 C000001 0  
021 000000 0  
022 A000001 WELLS FARGO SECURITIES, LLC  
022 B000001 56-2326000  
022 C000001 21826  
022 D000001 5473  
022 A000002 STIFEL, NICOLAUS & CO., INC.  
022 B000002 43-0538770  
022 C000002 2662  
022 D000002 11336  
022 A000003 BARCLAYS CAPITAL  
022 B000003 13-4942190  
022 C000003 6105  
022 D000003 6492  
022 A000004 MERRILL LYNCH & CO INC  
022 B000004 13-5674085  
022 C000004 12063  
022 D000004 518  
022 A000005 JP MORGAN CHASE & CO.  
022 B000005 13-3224016  
022 C000005 9105  
022 D000005 2667  
022 A000006 CANTOR FINZGERALD  
022 B000006 13-2698893  
022 C000006 1322  
022 D000006 10008  
022 A000007 CITIGROUP  
022 B000007 13-3082694  
022 C000007 8593  
022 D000007 1704  
022 A000008 RBC CAPITAL MARKETS CORP.  
022 B000008 13-5033225  
022 C000008 8592  
022 D000008 1514  
022 A000009 RAYMOND JAMES & ASSOCIATES, INC.  
022 B000009 59-1237041  
022 C000009 0  
022 D000009 8817  
022 A000010 GOLDMAN SACHS & CO.  
022 B000010 13-5108880  
022 C000010 3604  
022 D000010 3398  
023 C000000 88896  
023 D000000 65190

PAGE 3

024 000000 Y  
025 A000001 BANK OF AMERICA  
025 B000001 13-4078651  
025 C000001 E  
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025 A000002 BARCLAYS CAPITAL  
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025 B000003 52-1568099  
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025 D000003 9984  
025 A000004 GOLDMAN SACHS & CO.  
025 B000004 13-5108880  
025 C000004 E

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|     |         |                             |   |
|-----|---------|-----------------------------|---|
| 025 | D000004 | 1945                        |   |
| 025 | A000005 | JP MORGAN CHASE & CO.       |   |
| 025 | B000005 | 13-3224016                  |   |
| 025 | C000005 | E                           |   |
| 025 | D000005 | 15332                       |   |
| 025 | A000006 | WELLS FARGO SECURITIES, LLC |   |
| 025 | B000006 | 56-2326000                  |   |
| 025 | C000006 | E                           |   |
| 025 | D000006 | 12494                       |   |
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| 026 | C000000 | N                           |   |
| 026 | D000000 | Y                           |   |
| 026 | E000000 | N                           |   |
| 026 | F000000 | N                           |   |
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| 026 | G020000 | N                           |   |
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| 042 | A000000 |                             | 0 |
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042 D000000 0  
042 E000000 0  
042 F000000 0  
042 G000000 0  
042 H000000 0  
043 000000 0  
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046 000000 N  
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048 C010000 0  
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048 E010000 0  
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048 F010000 0  
048 F020000 0.000  
048 G010000 0  
048 G020000 0.000  
PAGE 5  
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054 O000000 Y  
055 A000000 N  
055 B000000 Y  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y

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061 000000 0  
062 A000000 N  
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062 C000000 0.0  
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062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
PAGE 6  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
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066 D000000 N  
066 E000000 N  
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066 G000000 N  
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068 A000000 N  
068 B000000 N  
069 000000 N  
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070 K020000 Y  
070 L010000 Y  
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070 M010000 Y  
070 M020000 N  
070 N010000 Y  
070 N020000 N  
070 O010000 Y

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|       |         |    |        |
|-------|---------|----|--------|
| 070   | O020000 | Y  |        |
| 070   | P010000 | N  |        |
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| 070   | Q010000 | N  |        |
| 070   | Q020000 | N  |        |
|       | PAGE    | 7  |        |
| 070   | R010000 | Y  |        |
| 070   | R020000 | N  |        |
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| 071   | B000000 |    | 87556  |
| 071   | C000000 |    | 297966 |
| 071   | D000000 |    | 29     |
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| 072   | B000000 |    | 9362   |
| 072   | C000000 |    | 10698  |
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| 072   | G000000 |    | 247    |
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| 072   | J000000 |    | 34     |
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| 072   | N000000 |    | 0      |
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| 072   | P000000 |    | 1026   |
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| 072   | W000000 |    | 188    |
| 072   | X000000 |    | 3588   |
| 072   | Y000000 |    | 0      |
| 072   | Z000000 |    | 16513  |
| 072AA | 000000  |    | 7844   |
| 072BB | 000000  |    | 0      |
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| 074   | H000000 |    | 0      |
|       | PAGE    | 8  |        |
| 074   | I000000 |    | 2123   |
| 074   | J000000 |    | 730    |
| 074   | K000000 |    | 0      |
| 074   | L000000 |    | 3449   |

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|     |         |                           |
|-----|---------|---------------------------|
| 074 | M000000 | 55                        |
| 074 | N000000 | 312092                    |
| 074 | O000000 | 0                         |
| 074 | P000000 | 140                       |
| 074 | Q000000 | 102900                    |
| 074 | R010000 | 0                         |
| 074 | R020000 | 0                         |
| 074 | R030000 | 0                         |
| 074 | R040000 | 197                       |
| 074 | S000000 | 0                         |
| 074 | T000000 | 208855                    |
| 074 | U010000 | 9901                      |
| 074 | U020000 | 0                         |
| 074 | V010000 | 21.10                     |
| 074 | V020000 | 0.00                      |
| 074 | W000000 | 0.0000                    |
| 074 | X000000 | 14                        |
| 074 | Y000000 | 0                         |
| 075 | A000000 | 0                         |
| 075 | B000000 | 202539                    |
| 076 | 000000  | 20.96                     |
| 077 | A000000 | Y                         |
| 077 | B000000 | Y                         |
| 077 | C000000 | N                         |
| 077 | D000000 | N                         |
| 077 | E000000 | N                         |
| 077 | F000000 | N                         |
| 077 | G000000 | N                         |
| 077 | H000000 | N                         |
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| 077 | J000000 | N                         |
| 077 | K000000 | N                         |
| 077 | L000000 | N                         |
| 077 | M000000 | N                         |
| 077 | N000000 | N                         |
| 077 | O000000 | N                         |
| 077 | P000000 | N                         |
| 077 | Q010000 | N                         |
| 077 | Q020000 | N                         |
| 077 | Q030000 | N                         |
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| 080 | B000000 | NA                        |
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| 081 | A000000 | N                         |
| 081 | B000000 | 0                         |
| 082 | A000000 | N                         |
|     | PAGE    | 9                         |
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| 083 | A000000 | N                         |
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| 085 | B000000 | N                         |
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| 086 | B010000 | 0                         |
| 086 | B020000 | 0                         |
| 086 | C010000 | 0                         |
| 086 | C020000 | 0                         |
| 086 | D010000 | 0                         |

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|           |                         |              |
|-----------|-------------------------|--------------|
| 086       | D020000                 | 0            |
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| 086       | F020000                 | 0            |
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| 087       | A020000                 | 338479108    |
| 087       | A030000                 | FLC          |
| 088       | A000000                 | N            |
| 088       | B000000                 | N            |
| 088       | C000000                 | N            |
| 088       | D000000                 | N            |
| SIGNATURE | R. ERIC CHADWICK        |              |
| TITLE     | CHIEF FINANCIAL OFFICER |              |