

Edgar Filing: StoneCastle Financial Corp. - Form NSAR-A

StoneCastle Financial Corp.
Form NSAR-A
August 25, 2015

PAGE 1
000 A000000 06/30/2015
000 C000000 0001578987
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 StoneCastle Financial Corp.
001 B000000 811-22853
001 C000000 2123546500
002 A000000 152 West 57th Street, 35th Floor
002 B000000 New York
002 C000000 NY
002 D010000 10019
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008 A000001 StoneCastle Asset Management LLC
008 B000001 A
008 C000001 801-77433
008 D010001 New York
008 D020001 NY
008 D030001 10019
010 A000001 BNY Mellon
010 B000001 84-01761
010 C010001 Boston
010 C020001 MA
010 C030001 02108
011 A000001 Keefe, Bruyette & Woods, Inc.
011 B000001 8-10888
011 C010001 New York
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012 A000001 Computershare Trust Company, N.A.
012 B000001 84-05925
012 C010001 Canton
012 C020001 MA
012 C030001 02021
013 A000001 KPMG LLP
013 B010001 Roseland
013 B020001 NJ
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014 A000001 StoneCastle Securities, LLC
014 B000001 8-66434
015 A000001 BNY Mellon
PAGE 2
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 020 C000002 21
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 022 A000001 STIFEL, NICOLAUS & CO., INC.
 022 B000001 43-0538770
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 022 C000002 23000
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 072 M000000 90
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PAGE 8

074 X000000 4
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| 087 | A010000 | Common Stock | |
| 087 | A020000 | 861780104 | |
| 087 | A030000 | BANX | |
| SIGNATURE | PATRICK J. FARRELL | | |
| TITLE | CFO | | |