Younes Rudolph-Riad Form 4 September 29, 2009

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#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 

Washington, D.C. 20549

3235-0287 Number: January 31, Expires:

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Younes Rudolph-Riad			2. Issuer Name and Ticker or Trading Symbol Artio Global Investors Inc. [ART]	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle) 3. Date of Earliest Transaction		(Check all applicable)			
			(Month/Day/Year)	Director 10% Owner			
C/O ARTIO GLOBAL INVESTORS			09/29/2009	_X_ Officer (give title Other (specify below) Head of International Equity  6. Individual or Joint/Group Filing(Check			
INC., 330 MADISON AVENUE							
(Street)			4. If Amendment, Date Original				
			Filed(Month/Day/Year)	Applicable Line)			
NEW YORK, NY 10017				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Ta	ble I - Non	-Derivative Se	ecuriti	ies Acquire	ed, Disposed of, o	or Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities And Disposed of (Instr. 3, 4 and Amount	D)	red (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A common stock	09/29/2009		M(1)	1,200,000	A	(1)	1,200,000	D	
Class A common stock	09/29/2009		D(2)	1,200,000	D	\$ 24.596	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of Derivative TransactionSecurities Acquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)		
	Security			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An Nu Sha
Class B common stock	(3) (4)	09/29/2009		A	1,560,006		(3)(4)	(3)(4)	Class A common stock	1,:
New Class A Units	(3) (4)	09/29/2009		A	1,560,006		(3)(4)	(3)(4)	Class A common stock	1,:
Class B common stock	(3) (4)	09/29/2009		A	7,439,994		(3)(4)	(3)(4)	Class A common stock	7,
Class B common stock	<u>(1)</u>	09/29/2009		M		1,200,000	<u>(1)</u>	<u>(1)</u>	Class A common stock	1,2
New Class A Units	(3) (4)	09/29/2009		A	7,439,994		(3)(4)	(3)(4)	Class A common stock	7,4
New Class A Units	<u>(1)</u>	09/29/2009		M		1,200,000	<u>(1)</u>	(1)	Class A common stock	1,2

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Younes Rudolph-Riad C/O ARTIO GLOBAL INVESTORS INC. 330 MADISON AVENUE NEW YORK, NY 10017

Head of International Equity

### **Signatures**

/s/ Rudolph-Riad

Younes 09/29/2009

\*\*Signature of Reporting
Person

Date

Reporting Owners 2

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Under the Exchange Agreement (see defined below), Mr. Younes exchanged 1,200,000 of his New Class A Units (see defined below) for 1,200,000 shares of Class A common stock on September 29, 2009 (the "Initial Exchange"). In connection with the consummation of the
- (1) Initial Exchange, on September 29, 2009, Mr. Younes transferred 1,200,000 New Class A Units and 1,200,000 shares of Class B common stock to the Issuer in exchange for 1,200,000 shares of the Issuer's Class A common stock.
- (2) On September 29, 2009, the Issuer repurchased 1,200,000 shares of its Class A common stock from Mr. Younes.
  - In addition to the Initial Exchange, pursuant to the Exchange Agreement, Mr. Younes will be permitted to exchange additional New Class A Units at any time. Any Exchange (see defined below) will generally be a taxable event for Mr. Younes. As a result, at any time
- (3) following the expiration of the underwriters' lock-up (180 days after September 23, 2009, subject to extension), Mr. Younes will be permitted to sell shares of Class A common stock in connection with any Exchange in an amount necessary to generate proceeds (after deducting discounts and commissions) sufficient to cover the taxes payable on such Exchange (the amount of shares permitted to be sold determined based upon the stock price on the date of Exchange, whether or not such shares are sold then or thereafter).
- In addition, Mr. Younes will be permitted to sell up to 20% of the remaining shares of Class A common stock that he owns (calculated assuming all New Class A Units have been Exchanged by him) on or after the first anniversary of September 23, 2009, and an additional 20% of such remaining shares of Class A common stock on or after each of the next four anniversaries.
- (5) Held by the Rudolph-Riad Younes Family Trust, a grantor retained annuity trust for which Mr. Younes serves as settlor and trustee.

#### **Remarks:**

The Issuer entered into an exchange agreement (the "Exchange Agreement") with Mr. Younes on September 29, 2009. Pursua the Exchange Agreement, Mr. Younes (and certain of his permitted transferees, including the Rudolph-Riad Younes Family Trust) are permitted to exchange their new class A units (the "New Class A Units") in Artio Global Holdings LLC for shares of the Issuer's Class A common stock on a one-for-one basis (each such exchange, an "Exchange"), subject to customary conversion rate adjustments for stock splits, stock dividends and reclassifications and other similar transactions. The New Class A Units represent membership interests in Artio Global Holdings LLC, a subsidiary of the Issuer. In connection with each Exchange, Mr. Younes must deliver to the Issuer, for cancellation, a number of shares of Class B common stock equal to the number of New Class A Units being Exchanged.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.