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NEUBERGER BERMAN INC
Form 8-K
June 29, 2001

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT
Pursuant to Section 13 or 15 (d) of the
Securities Exchange Act of 1934

DATE OF REPORT (Date of earliest event reported): June 28, 2001

NEUBERGER BERMAN INC.
(Exact name of registrant as specified in its charter)

COMMISSION FILE NUMBER 001-15361

DELAWARE
(State or other jurisdiction of
incorporation or organization)

06-1523639
(I.R.S. Employer
Identification No.)

605 Third Avenue
New York, NY 10158
(Address of principal executive offices)

(212) 476-9000
(Registrant's telephone number, including area code)

ITEM 7. FINANCIAL STATEMENTS AND EXHIBITS

Exhibits

Exhibit No. Document

(99) Additional Exhibits

99.1 Press release issued by Neuberger Berman Inc. on June 28, 2001, reporting that it had priced a secondary public offering relating to the sale by certain stockholders of 3,937,966 shares of its common stock at \$68 per share.

ITEM 9. REGULATION FD DISCLOSURE

Neuberger Berman Inc. (the "Corporation") is furnishing under Item 9 of this Current Report on Form 8-K the information included as Exhibit 99.1 to this Report. Exhibit 99.1 is the Corporation's press release, dated June 28, 2001, reporting that the Corporation had priced a secondary public offering relating to the sale by certain stockholders

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of 3,937,966 shares of its common stock at \$68 per share.

The information in this Current Report on Form 8-K, including the exhibits, is furnished pursuant to Item 9 and shall not be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that Section.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Neuberger Berman Inc.
(Registrant)

Date: June 29, 2001

By: /s/ Matthew S. Stadler

Matthew S. Stadler
Chief Financial Officer

EXHIBIT INDEX

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