

Edgar Filing: PINNACLE WEST CAPITAL CORP - Form 5

PINNACLE WEST CAPITAL CORP

Form 5

February 14, 2002

FORM 5

OMB APPROVAL

- ☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
- ☐ Form 3 Holdings Reported
- ☐ Form 4 Transactions Reported

OMB Number 3235-0362  
Expires: December 31, 2001  
Estimated average burden hours per response..... 1.0

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person\*

Jamieson, Jr. William S.

(Last) (First) (Middle)

15 Macon Avenue

(Street)

Asheville, NC 28801

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Pinnacle West Capital Corporation (PNW)

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year

12/01

5. If Amendment, Date of Original  
(Month/Year)

6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

- ☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

7. Individual or Joint/Group Filing (Check Applicable Line)

- ☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

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TABLE I--NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

| 1.Title of Security<br>(Instr. 3) | 2.Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 3.Trans-<br>action<br>Code<br>(Instr.8) | 4.Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5)<br>-----<br>Amount (A) or (D) Price | 5.Amount of<br>Securities Ben-<br>eficially Owned<br>at end of<br>Issuer's Fiscal<br>Year (Instr. 3<br>and 4) | 6.Owner-<br>ship<br>Form:<br>Direct (I)<br>or Indirect (D)<br>(Instr. 4) |
|-----------------------------------|--------------------------------------------------------|-----------------------------------------|------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|
| Common Stock                      | 7-2-01                                                 | A                                       | 900 A                                                                                                      | 6,890                                                                                                         | D                                                                        |

\* If the form is filed by more than one reporting person, see Instruction 4(b) (v). Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Table II--DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
(e.g., puts, calls, warrants, options, convertible securities)

| 1.Title of Derivative Security<br>(Instr. 3) | 2.Conver-<br>sion or<br>Exer-<br>cise<br>Price of<br>Deriva-<br>tive Se-<br>curity | 3.Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.Transac-<br>tion<br>Code<br>(Instr.8) | 5.Number<br>of Deriva-<br>tive Se-<br>curities<br>Acquired<br>or Disposed<br>(Instr. 4)<br>-----<br>(A) |
|----------------------------------------------|------------------------------------------------------------------------------------|--------------------------------------------------------|-----------------------------------------|---------------------------------------------------------------------------------------------------------|
|----------------------------------------------|------------------------------------------------------------------------------------|--------------------------------------------------------|-----------------------------------------|---------------------------------------------------------------------------------------------------------|

N/A

| 6.Date Exercis-<br>able and Expi-<br>ration Date<br>(Month/Day/<br>Year) | 7.Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4)<br>-----<br>Title Amount or<br>Number of<br>Shares | 8.Price<br>of<br>De-<br>riva-<br>tive<br>Secu-<br>rity<br>(Instr. 5) | 9.Number<br>of De-<br>rivative<br>Securi-<br>ties Ben-<br>eficially<br>Owned at<br>End of Year<br>Year(Instr.4) | 10.Owner-<br>ship of<br>Deriva-<br>tive Se-<br>curity:<br>Direct<br>(D) or<br>Indirect<br>(I) (Instr.4) |
|--------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
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Explanation of Responses:

William S. Jamieson, Jr.  
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2-14-02  
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William S. Jamieson, Jr.

Date

\*\*Signature of Reporting Person

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal  
Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).