SYNALLOY CORP

Form 4 May 09, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number: January 31, Expires:

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0.5

**OMB APPROVAL** 

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Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(State)

2. Issuer Name and Ticker or Trading Michtich Amy J Issuer Symbol SYNALLOY CORP [SYNL] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) X\_ Director 10% Owner Other (specify Officer (give title 5135 SOUTH EASTSIDE HWY 05/05/2016 below) (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

5. Relationship of Reporting Person(s) to

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

ELKTON, VA 22827

(City)

| (City)                               | (State) (Z                           | Zip) Table  | I - Non-D                               | erivative Securi  | ities Acq  | quired, Disposed o                               | of, or Beneficial  | ly Owned  |
|--------------------------------------|--------------------------------------|---|---|---|------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |            | Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|                                      |                                      |   | Code V                                  | (A) or Amount (D)   | Price      | Reported<br>Transaction(s)<br>(Instr. 3 and 4)   |  |   |
| Common<br>Stock                      | 05/05/2016                           |   | A                                       | 6,211 A   | \$<br>8.05 | 12,556   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: SYNALLOY CORP - Form 4

|   | . Title of                          | 2.  | 3. Transaction Date (Month/Day/Year) |   | 4.                              | 5.   | 6. Date Exerc       |                    | 7. Title and   | 8. Price of                          | 9. Nu<br>Deriv  |
|---|-------------------------------------|---|--------------------------------------|---|---------------------------------|--|---------------------|--------------------|--|--------------------------------------|---|
| 5 | Derivative<br>Security<br>Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year)                     | Execution Date, if any (Month/Day/Year) | Transacti<br>Code<br>(Instr. 8) | orNumber<br>of<br>Derivativ<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | s<br>I              |                    | Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4 | Derivative<br>Security<br>(Instr. 5) | Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|   |                                     |   |                                      |   | Code V                          | <i></i>  | Date<br>Exercisable | Expiration<br>Date | Title Amour<br>or<br>Number<br>of                        | er                                   |   |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
| • 0                            | Director      | 10% Owner | Officer | Other |  |  |
| Michtich Amy J                 |               |           |         |       |  |  |
| 5135 SOUTH EASTSIDE HWY        | X             |           |         |       |  |  |

## **Signatures**

ELKTON, VA 22827

Cheryl C. Carter, Power of Attorney for Amy J.

Michtich

05/09/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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