FITZPATRICK MICHAEL J

Form 4

December 22, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... 0.5

See Instruction

1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Address of Reporting Person * FITZPATRICK MICHAEL J | | | Symbol | NFIRST I | Ticker or Trading FINANCIAL CORP | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|---|---|------------|---|---|---|--|----------------------------------|--|--|
| (Last) 975 HOOP | (First) (PER AVENUE | Middle) 3. | 3. Date of Earliest Transaction (Month/Day/Year) 12/20/2017 | | | Director 10% OwnerX Officer (give title Other (specify below) Executive Vice President & CFO | | | |
| (Street) TOMS RIVER, NJ 08754 | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Table | e I - Non-I | Derivative Securities Acq | uired, Disposed of | , or Beneficiall | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | Date, if | 3. Transaction Code (Instr. 8) | 4. Securities Acquired or(A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned | 6. Ownership Form: Direct (D) or | 7. Nature of Indirect Beneficial Ownership | |

| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securit on(A) or Dis (Instr. 3, 4) | sposed | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--------------------------------------|---|---|--|---------------------------------------|--------|-------------|--|--|---|
| Common Stock | 12/20/2017 | | M | 21,263 | A | \$ 16.81 | 136,161 | D (1) | |
| Common Stock | 12/20/2017 | | F | 16,200 | D | \$ 27.09 | 119,961 | D (1) | |
| Common Stock | | | | | | | 2,624 | I | By 401(k) |
| Common Stock | | | | | | | 80,952 | I | By Esop |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | Secu Acq or D (D) | urities uired (A) visposed of tr. 3, 4, | ee Expiration Date s (Month/Day/Year) (A) sed of | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|----------------------------|--|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (right to buy) | \$ 16.81 | 12/20/2017 | | M | | 21,263 | 02/20/2009 | 02/20/2018 | Common Stock | 21,263 |
| Stock Option (right to buy) | \$ 10 | | | | | | 02/17/2011 | 02/17/2020 | Common Stock | 29,770 |
| Stock Option (right to buy) | \$ 13.87 | | | | | | 02/18/2012 | 02/18/2021 | Common Stock | 30,000 |
| Stock Option (right to buy) | \$ 13.83 | | | | | | 02/15/2013 | 02/15/2022 | Common Stock | 30,000 |
| Stock Option (right to buy) | \$ 14.62 | | | | | | 02/15/2014 | 02/15/2023 | Common Stock | 22,500 |
| Stock Option (right to buy) | \$ 17.75 | | | | | | 03/01/2015 | 03/19/2024 | Common Stock | 22,500 |
| Stock Option (right to buy) | \$ 17.37 | | | | | | 03/01/2016 | 03/18/2025 | Common Stock | 22,500 |

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| Stock Option (right to buy) | \$ 17.28 | 03/01/2017 | 03/16/2026 | Common Stock | 22,500 |
|--------------------------------------|----------|------------|------------|-----------------|--------|
| Stock Option (right to buy) | \$ 29.01 | 03/01/2018 | 03/15/2027 | Common Stock | 22,500 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FITZPATRICK MICHAEL J 975 HOOPER AVENUE TOMS RIVER, NJ 08754

Executive Vice President & CFO

Signatures

/s/ Steven J. Tsimbinos, Power of Attorney 12/22/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total includes shares of restricted Common Stock that have not yet vested.
- (2) This form reflects increases in beneficial ownership resulting from exempt acquisitions pursuant to Rule 16b-3(c).
- (3) Options vest in five equal annual installments beginning on the date first exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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