Edgar Filing: Joe David - Form 4

Ice David

| Form 4 | | | | | | | | | | | |
|---|---|---|--|--------------------|---|---|--------------------------|--|--|--|--|
| February 14 | , 2018 | | | | | | | | | | |
| FORM | S SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549 | | | | | | OMB AF OMB Number: | PROVAL 3235-0287 | | | |
| Check th if no long subject to Section 1 Form 4 of Form 5 obligatio may cont See Instr 1(b). | ger 16. or Filed pu ns tinue. | Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | Sumber: January 31 Expires: 200 Estimated average January 31 burden hours per 200 response 0.3 | |
| (Print or Type] | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Joe David | | | 2. Issuer Name and Ticker or Trading Symbol EVOLUTION PETROLEUM CORP [EPM] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) 1155 DAIR 425 | 3. Date of Earliest Transaction (Month/Day/Year) 02/12/2018 | | | | | Director 10% Owner X Officer (give title Other (specify below) SVP & CFO | | | | | |
| | | | | (Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| | , IX //0/9 | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Tab | le I - Non-I | Derivative | Secur | ities Acqu | iired, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Dat (Month/Day/Year) |) Execution any | Date, if | Code (Instr. 8) | 4. Securiti onor Dispose (Instr. 3, 4 Amount | ed of (| (D) |) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 02/12/2018 | | | S <u>(1)</u> | 16,666 (1) | D | \$ 8.1612 (2) | 345,924 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | te Amount | | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|-----------|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | |
|---|-------|---------------|-----------|-----------|-------|--|--|
| | | irector | 10% Owner | Officer | Other | | |
| Joe David 1155 DAIRY ASHFORD, SUITE HOUSTON, TX 77079 | 425 | | | SVP & CFO | | | |
| Signatures | | | | | | | |
| /s/ David Joe 02/14 | /2018 | | | | | | |

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to a 10b5-1 trading plan.

The price represents the weighted average sales price for multiple transactions reported on this line. The prices of the transactions
 (2) reported on this line ranged from \$8.15 to \$8.20. Upon request by the Commission staff, the issuer or a security holder of the issuer, the reporting person will undertake to provide full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.