Workhorse Group Inc. Form 4 September 16, 2015

Check this box

if no longer

subject to

Section 16.

Form 4 or

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

1.Title of

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **Taylor James** 

(Middle)

C/O AMP HOLDING INC..., 100 COMMERCE DRIVE

(Street)

2. Transaction Date 2A. Deemed

(First)

2. Issuer Name and Ticker or Trading Symbol

Workhorse Group Inc. [WKHS]

3. Date of Earliest Transaction (Month/Day/Year)

08/06/2012

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

response...

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**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

X\_ Director 10% Owner Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

LOVELAND, OH 45140

(City) (State) (Zip)

(Month/Day/Year)

3. 4. Securities Execution Date, if

(Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

TransactionAcquired (A) or Code Disposed of (D)

(Instr. 8) (Instr. 3, 4 and 5)

(A)

or Code V Amount (D) Price

5. Amount of Securities Beneficially

Owned Following Reported Transaction(s)

(Instr. 3 and 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4)

(Instr. 4)

SEC 1474

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number of 6. Date Exercisable and 7. Title and Amou Derivative Conversion (Month/Day/Year) Execution Date, if **Transaction**Derivative **Expiration Date** Underlying Secur Security or Exercise any Code Securities (Month/Day/Year) (Instr. 3 and 4)

### Edgar Filing: Workhorse Group Inc. - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) of Disposed of (D (Instr. 3, 4, and 5)	)			
				Code V	(Α) (Γ	Date Exercisable	Expiration Date	Title	Am Nur Sha
STOCK OPTIONS	\$ 0.175	08/13/2015		P	100,000	08/13/2015	08/11/2020	Common Stock	10
STOCK OPTIONS	\$ 0.01	07/01/2015		P	\$ 774,356	07/01/2015	06/29/2020	Common Stock	\$ 7
STOCK OPTIONS	\$ 0.14	12/19/2014		P	\$ 100,000	12/19/2014	12/18/2019	Common Stock	\$ 1
STOCK OPTIONS	\$ 0.29	03/15/2013		P	\$ 300,000	03/15/2013	03/14/2018	Common Stock	\$ 3
STOCK OPTIONS	\$ 0.15	08/10/2012		P	\$ 300,000	08/10/2012	08/09/2017	Common Stock	\$ 3
STOCK OPTIONS	\$ 0.6					05/25/2011	05/25/2016	Common Stock	\$ 5
COMMON STOCK PURCHASE WARRANT	\$ 2					05/25/2011	05/25/2016	Common Stock	\$ 5
STOCK OPTIONS	\$ 0.68					10/11/2010	10/11/2015	Common Stock	\$ 3
STOCK OPTIONS	\$ 0.72					12/08/2010	12/08/2020	Common Stock	1,2
STOCK OPTIONS	\$ 2					12/08/2010	12/08/2015	Common Stock	\$ 6

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
Taylor James C/O AMP HOLDING INC., 100 COMMERCE DRIVE LOVELAND, OH 45140	X				

## **Signatures**

/s/ James E. Taylor	09/16/2015
**Signature of Reporting Person	Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.