

DAVID GEORGE AL
Form 4
November 03, 2004

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DAVID GEORGE AL

2. Issuer Name and Ticker or Trading Symbol
UNITED TECHNOLOGIES CORP /DE/ [UTX]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
11/01/2004

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman and CEO

UNITED TECHNOLOGIES CORPORATION, ONE FINANCIAL PLAZA

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

HARTFORD, CT 06101

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	11/01/2004		M		200,000	A	\$ 19.5625
Common Stock	11/01/2004		F		41,272	D	\$ 94.8
Common Stock	11/01/2004		F		49,920	D	\$ 94.8
Common Stock	11/02/2004		S		7,000	D	\$ 94
	11/02/2004		S		2,600	D	\$ 94.01
							860,693
							870,293
							920,213
							961,485

Edgar Filing: DAVID GEORGE AL - Form 4

Common Stock							
Common Stock	11/02/2004	S	400	D	\$ 94.04	860,293	D
Common Stock	11/02/2004	S	200	D	\$ 94.05	860,093	D
Common Stock	11/02/2004	S	900	D	\$ 94.06	859,193	D
Common Stock	11/02/2004	S	900	D	\$ 94.08	858,293	D
Common Stock	11/02/2004	S	1,400	D	\$ 94.09	856,893	D
Common Stock	11/02/2004	S	2,500	D	\$ 94.1	854,393	D
Common Stock	11/02/2004	S	700	D	\$ 94.11	853,693	D
Common Stock	11/02/2004	S	600	D	\$ 94.12	853,093	D
Common Stock	11/02/2004	S	300	D	\$ 94.13	852,793	D
Common Stock	11/02/2004	S	800	D	\$ 94.15	851,993	D
Common Stock	11/02/2004	S	1,100	D	\$ 94.35	850,893	D
Common Stock	11/02/2004	S	1,000	D	\$ 94.36	849,893	D
Common Stock	11/02/2004	S	2,300	D	\$ 94.37	847,593	D
Common Stock	11/02/2004	S	200	D	\$ 94.38	847,393	D
Common Stock	11/02/2004	S	2,200	D	\$ 94.39	845,193	D
Common Stock	11/02/2004	S	10,400	D	\$ 94.4	834,793	D
Common Stock	11/02/2004	S	16,100	D	\$ 94.41	818,693	D
Common Stock	11/02/2004	S	6,600	D	\$ 94.42	812,093	D
Common Stock	11/02/2004	S	900	D	\$ 94.45	811,193 ⁽¹⁾	D
						4,786.717	I

Common
Stock

By
Savings
Plan
Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 19.5625	11/01/2004		M	200,000	09/04/1996 06/27/2005	Common Stock	2

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DAVID GEORGE AL UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101	X		Chairman and CEO	

Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact 11/02/2004

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person also directly owns 48,532 shares of United Technologies Career Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.