

UNITED TECHNOLOGIES CORP /DE/  
Form 4  
October 19, 2006

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TRACHSEL WILLIAM H

(Last) (First) (Middle)

UNITED TECHNOLOGIES CORPORATION, ONE FINANCIAL PLAZA

(Street)

HARTFORD, CT 06101

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
UNITED TECHNOLOGIES CORP /DE/ [UTX]

3. Date of Earliest Transaction (Month/Day/Year)  
10/18/2006

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
SVP and General Counsel

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	10/18/2006		M		12,000	A	\$ 18.2812
Common Stock	10/18/2006		F		3,346	D	\$ 65.57
Common Stock	10/18/2006		F		3,599	D	\$ 65.57
Common Stock	10/18/2006		M		60,000	A	\$ 18.2812
Common Stock	10/18/2006		F		16,729	D	\$ 65.57
							72,824
							69,478
							65,879
							125,879
							109,150

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Common Stock								
Common Stock	10/18/2006		F	17,995	D	\$ 65.57	91,155	D
Common Stock	10/19/2006		S	900	D	\$ 65.17	90,255	D
Common Stock	10/19/2006		S	100	D	\$ 65.16	90,155	D
Common Stock	10/19/2006		S	1,900	D	\$ 65.14	88,255	D
Common Stock	10/19/2006		S	4,831	D	\$ 65.13	83,424	D
Common Stock	10/19/2006		S	1,600	D	\$ 65.12	81,824	D
Common Stock	10/19/2006		S	100	D	\$ 65.11	81,724	D
Common Stock	10/19/2006		S	11,300	D	\$ 65.1	70,424	D
Common Stock	10/19/2006		S	4,000	D	\$ 65.04	66,424	D
Common Stock	10/19/2006		S	5,400	D	\$ 65.01	61,024 <sup>(1)</sup>	D
Common Stock	10/19/2006		S	200	D	\$ 65.15	60,824	D
Common Stock							12,913.72	I
								By Savings Plan Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
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			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am or Num of S
Non-Qualified Stock Option (right to buy)	\$ 18.2812	10/18/2006	M				01/02/2001	01/01/2008	Common Stock	12
Non-Qualified Stock Option (right to buy)	\$ 18.2812	10/18/2006	M				01/02/2001	01/01/2008	Common Stock	60

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TRACHSEL WILLIAM H UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101			SVP and General Counsel	

## Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact  
Date: 10/19/2006

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person also directly owns 22,936 shares of United Technologies Career Restricted Common Stock.

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