

UNITED TECHNOLOGIES CORP /DE/  
Form 4  
January 26, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Hess David P

2. Issuer Name and Ticker or Trading Symbol  
UNITED TECHNOLOGIES CORP /DE/ [UTX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
01/24/2007

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
President, Hamilton Sundstrand

UNITED TECHNOLOGIES CORPORATION, ONE FINANCIAL PLAZA

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

HARTFORD, CT 06101

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				Code	V	Amount or Price			
Common Stock	01/24/2007		M			35,800 A \$ 32.17	42,095	D	
Common Stock	01/24/2007		M			36,600 A \$ 31.705	78,695	D	
Common Stock	01/24/2007		S			3,400 D \$ 66.36	75,295	D	
Common Stock	01/24/2007		S			1,800 D \$ 66.35	73,495	D	
	01/24/2007		S			1,100 D \$ 66.34	72,395	D	

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Common Stock									
Common Stock	01/24/2007		S	1,800	D	\$ 66.33	70,595	D	
Common Stock	01/24/2007		S	1,300	D	\$ 66.32	69,295	D	
Common Stock	01/24/2007		S	10,755	D	\$ 66.31	58,540	D	
Common Stock	01/24/2007		S	25,745	D	\$ 66.3	32,795	D	
Common Stock	01/24/2007		S	6,500	D	\$ 66.29	26,295	D	
Common Stock	01/24/2007		S	8,300	D	\$ 66.28	17,995	D	
Common Stock	01/24/2007		S	5,000	D	\$ 66.27	12,995	D	
Common Stock	01/24/2007		S	6,300	D	\$ 66.26	6,695	D	
Common Stock	01/24/2007		S	400	D	\$ 66.25	6,295 <sup>(1)</sup>	D	
Common Stock							6,615.73	I	By Savings Plan Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
						Date Exercisable	Expiration Date	Title	Am or Nur of S
				Code	V (A) (D)				

Non-Qualified Stock Option (right to buy)	\$ 32.17	01/24/2007	M	35,800	01/02/2005	01/01/2012	Common Stock	35
Non-Qualified Stock Option (right to buy)	\$ 31.705	01/24/2007	M	36,600	01/02/2006	01/01/2013	Common Stock	36

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hess David P UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101			President, Hamilton Sundstrand	

## Signatures

By: /s/ Charles F. Hildebrand as  
Attorney-in-Fact

01/26/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person also directly owns 10,000 shares of United Technologies Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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