## Edgar Filing: Ferguson Matthew Wagner - Form 4

| Ferguson M<br>Form 4<br>February 15  | latthew Wagner          |   |  |                             |              |           |                      |   |                                      |   |  |  |
|--|-------------------------|---|--|-----------------------------|--------------|-----------|----------------------|---|--------------------------------------|---|--|--|
| •  | ЛЛ                      |   |  |                             |              |           |                      |   | OMB AF                               | PROVAL  |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549   |                         |   |  |                             |              |           | OMB<br>Number:       | 3235-0287   |                                      |   |  |  |
| Check the<br>if no lon<br>subject the<br>Section<br>Form 4<br>Form 5   | nger<br>to<br>16.<br>or | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OI<br>SECURITIES |  |                             |              |           |                      |   |                                      | Expires:January 31,<br>2005Estimated average<br>burden hours per<br>response0.5 |  |  |
| Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |                         |   |  |                             |              |           |                      |   |                                      |   |  |  |
| (Print or Type   | Responses)              |   |  |                             |              |           |                      |   |                                      |   |  |  |
| Ferguson Matthew Wagner Symbol   |                         |   |  |                             |              |           |                      | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                           |                                      |   |  |  |
| (Last)   | (First) (               | Middle)   | 3. Date c  | ate of Earliest Transaction |              |           |                      | (Check an applicable)   |                                      |   |  |  |
| (Mon   |                         |   |  |                             |              |           |                      | _X_ Director 10% Owner<br>Officer (give title Other (specify<br>below) below)                           |                                      |   |  |  |
|  |                         |   |  | onth/Day/Year) A            |              |           |                      | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |                                      |   |  |  |
| CHICAGO  | ), IL 60654             |   |  |                             |              |           | Ī                    | Form filed by Me<br>Person  | ore than One Re                      | porting   |  |  |
| (City)   | (State)                 | (Zip)   | Tab  | le I - Non-                 | Derivativ    | e Secu    | irities Acqui        | ired, Disposed of,  | or Beneficial                        | ly Owned  |  |  |
| 1.Title of<br>Security<br>(Instr. 3)2. Transaction Date<br>(Month/Day/Year)2A. Deen<br>Execution<br>any<br>(Month/D  |                         |   | Date, if Transaction Disposed of (D)<br>Code (Instr. 3, 4 and 5) |                             |              |           | f (D)                | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported                            | OwnershipIndiaForm:BendDirect (D)Own | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)               |  |  |
|  |                         |   |  | Colo V                      | <b>A</b>     | (A)<br>or | Duite                | Transaction(s)<br>(Instr. 3 and 4)  | (Instr. 4)                           |   |  |  |
| Common<br>Stock  | 02/13/2018              |   |  | S                           | Amount 2,000 | (D)<br>D  | Price<br>\$ 29.183   | 17,983  | D                                    |   |  |  |
| Common<br>Stock  | 02/13/2018              |   |  | М                           | 8,389        | А         | \$ 14.42             | 26,372  | D                                    |   |  |  |
| Common<br>Stock  | 02/13/2018              |   |  | S                           | 8,389        | D         | \$<br>29.1832<br>(2) | 17,983  | D                                    |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not

(9-02)

#### Edgar Filing: Ferguson Matthew Wagner - Form 4

# required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number<br>Transaction Derivative<br>Code Securities<br>(Instr. 8) Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |         | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|---|---------|--|--------------------|---|--|
|   |   |   |   | Code V  | (A) (D) | Date Exercisable   | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 14.42  | 02/13/2018                              |   | М   | 8,389   | 05/09/2012 <u>(3)</u>  | 05/09/2021         | Common<br>Stock   | 8,389                                  |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |
| Ferguson Matthew Wagner<br>C/O ECHO GLOBAL LOGISTICS, INC.<br>600 WEST CHICAGO AVENUE, SUITE 725<br>CHICAGO, IL 60654 | х             |           |         |       |  |  |
| Signatures  |               |           |         |       |  |  |
| /s/ David B. Menzel, by Power of<br>Attorney  | 02/15/20      | )18       |         |       |  |  |
| **Signature of Reporting Person   | Date          |           |         |       |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price is the weighted average price for the transactions reported on this line. The range of prices for the transactions reported on this
(1) line is between \$28.95 and \$29.40988 per share. Complete information regarding the number of shares sold at each separate price will be provided upon request by the Commission Staff, the issuer or a security holder of the issuer.

The price is the weighted average price for the transactions reported on this line. The range of prices for the transactions reported on this(2) line is between \$28.95 and \$29.4099 per share. Complete information regarding the number of shares sold at each separate price will be provided upon request by the Commission Staff, the issuer or a security holder of the issuer.

(3)

### Edgar Filing: Ferguson Matthew Wagner - Form 4

Date at which first vesting occurs is indicated. 2,796 of the shares originally subject to the option became exercisable at the first vesting date, 2,796 shares became exercisable on May 9, 2013 and 2,797 shares became exercisable on May 9, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.