

BERTERO JOHN B III
Form 3
February 10, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|----------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â BERTERO JOHN B III | | (Month/Day/Year) | PULTEGROUP INC/MI/ [PHM] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | 02/10/2011 | | |
| 10600 ARROWHEAD DRIVE | | | (Check all applicable) | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| | (Street) | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| FAIRFAX,Â VAÂ 22030 | | | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input type="checkbox"/> Form filed by More than One Reporting Person |
| (City) | (State) | (Zip) | (give title below) (specify below) | |
| | | | Area President | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 36,772 | D | Â |
| Units | 111.976 ⁽¹⁾ | I | via 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|---|---|---|
|--|--|---|---|---|---|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|--------------------------------------|---------------------------|-----------------|--------------|----------------------------|-----------|---------------------------------------|---|
| Employee Stock Option (Right to Buy) | 08/18/2011 ⁽²⁾ | 08/18/2019 | Common Stock | 40,000 | \$ 12.335 | D | Â |
| Employee Stock Option (Right to Buy) | 08/18/2009 | 05/11/2013 | Common Stock | 6,572 | \$ 56.58 | D | Â |
| Employee Stock Option (Right to Buy) | 08/18/2009 | 05/10/2014 | Common Stock | 18,342 | \$ 46.7 | D | Â |
| Employee Stock Option (Right to Buy) | 08/18/2009 | 05/07/2015 | Common Stock | 24,651 | \$ 22.65 | D | Â |
| Employee Stock Option (Right to Buy) | 02/11/2012 ⁽³⁾ | 02/11/2020 | Common Stock | 25,000 | \$ 11.445 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------|-------|
| | Director | 10% Owner | Officer | Other |
| BERTERO JOHN B III 10600 ARROWHEAD DRIVE FAIRFAX, VA 22030 | Â | Â | Â Area President | Â |

Signatures

/s/ Jan M. Klym, attorney-in-fact for Mr. Bertero 02/10/2011

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
Represents units of the PulteGroup, Inc. Stock Fund (the Fund) of the PulteGroup, Inc. 401(k) Plan. The Fund consist of cash and
- (1) Common Stock in amounts that vary from time to time. The reporting person's units represents 242.686 shares of PulteGroup, Inc. Common Stock held in the Fund as of 1/31/2011.
- (2) These options vest 50% on 8/18/2011; and 25% on both 8/18/2012 and 8/18/2013.
- (3) These options vest 50% on 02/11/2012, and 25% on both 02/11/2013 and 02/11/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.