

CVS CAREMARK CORP
Form 4
January 03, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Roberts Jonathan C

(Last) (First) (Middle)

ONE CVS DRIVE

(Street)

WOONSOCKET, RI 02895-

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CVS CAREMARK CORP [CVS]

3. Date of Earliest Transaction
(Month/Day/Year)
01/02/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

EVP & President, Caremark

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	09/05/2013		G	V 850 D \$ 58.46	29,228.6731	D	
Common Stock	01/02/2014		M	60,484 A \$ 34.42	89,785.6731	D	
Common Stock	01/02/2014		S ⁽¹⁾	60,484 D \$ 70.61 (2)	29,301.6731	D	
Common Stock	01/02/2014		M	101,708 A \$ 28.1	131,009.6731	D	
Common Stock	01/02/2014		S ⁽¹⁾	101,708 D \$ 70.61	29,301.6731	D	

Edgar Filing: CVS CAREMARK CORP - Form 4

(3)

Common Stock (pep)	11,095.1197	D	
Common Stock (restricted)	65,333	D	
ESOP Common Stock	5,097.1602	I	By ESOP
Stock Unit	40,563.4043	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount of Shares
Stock Option	\$ 34.42	01/02/2014		M	60,484	04/02/2008 ⁽⁴⁾	04/02/2014	Common Stock	60,484
Stock Option	\$ 28.1	01/02/2014		M	101,708	04/01/2010 ⁽⁵⁾	04/01/2016	Common Stock	101,708
Phantom Stock Credits	\$ 1					⁽⁶⁾	⁽⁶⁾	Common Stock	1,400
Stock Option	\$ 41.17					04/01/2009 ⁽⁷⁾	04/01/2015	Common Stock	80,000
Stock Option	\$ 36.23					04/01/2011 ⁽⁸⁾	04/01/2017	Common Stock	60,000
Stock Option	\$ 34.96					04/01/2012 ⁽⁹⁾	04/01/2018	Common Stock	80,000
Stock Option	\$ 45.07					04/02/2013 ⁽¹⁰⁾	04/02/2019	Common Stock	70,000
	\$ 54.53					04/01/2014 ⁽¹¹⁾	04/01/2020		60,000

