#### Carey Nate C Form 3 December 05, 2017 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL FORM 3 Washington, D.C. 20549 OMB Number:

### **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Carey Nate C			<ul><li>2. Date of Event Requiring</li><li>Statement</li><li>(Month/Day/Year)</li></ul>	<ul><li>3. Issuer Name and Ticker or Trading Symbol</li><li>BALL Corp [BLL]</li></ul>				
(Last)	(First)	(Middle)	11/27/2017	4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			5. If Amendment, Date Original Filed(Month/Day/Year)	
10 LONGS	(Street)	IVE					6. Individual or Joint/Group Filing(Check Applicable Line)	
BROOMFIELD, CO 80021				X Officer (give title belo	Director10% Owner XOfficerOther (give title below) (specify below) Vice President & Controller		_X_Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - I	Non-Deriva	tive Securit	ies Be	eneficially Owned	
1.Title of Secu (Instr. 4)	rity		2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		ture of Indirect Beneficial ership : 5)	
401K			447.389		D (1)	Â		
Common Stock			7,661.8334		D	Â		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)								

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#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

3235-0104

January 31,

2005

0.5

Expires:

response...

Estimated average burden hours per

### Edgar Filing: Carey Nate C - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	02/04/2016	02/04/2025	Common Stock	6,800	\$ 33.075	D	Â
Stock Option (Right to Buy)	01/27/2017	01/27/2026	Common Stock	6,800	\$ 33.05	D	Â
Stock Option (Right to Buy)	04/26/2018	04/26/2027	Common Stock	6,940	\$ 37.585	D	Â
Restricted Stock Units	(2)	(3)	Common Stock	11,866	\$ <u>(3)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Carey Nate C 10 LONGS PEAK DRIVE BROOMFIELD, CO 80021	Â	Â	Vice President & Controller	Â		
Signatures						
/s/ Janice L. Rodriguez, attorney-in-fact for Mr. Carey			12/05/2017			

\*\*Signature of Reporting Person

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.

Date

- (2) Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.
- (3) Restricted Stock Units awarded under the Ball Corporation Stock and Cash Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.