### Edgar Filing: Anne Farnham Rankin Trust 8/15/2012 - Form 4

Anne Farnham Rankin Trust 8/15/2012 Form 4 October 19, 2018

#### **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Anne Farnham Rankin Trust Issuer Symbol 8/15/2012 HYSTER-YALE MATERIALS (Check all applicable) HANDLING, INC. [HY] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Officer (give title \_\_\_\_X\_\_\_ Other (specify (Month/Day/Year) below) below) 5875 LANDERBROOK 10/17/2018 Member of a Group DRIVE, SUITE 300 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting CLEVELAND, OH 44124 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of (Month/Dav/Year) Execution Date if Transaction(A) or Disposed of (D) Indirect Security Securities Ownership

Code V Amount (D) Price (1000) Class A Common 10/17/2018 P $1 \stackrel{(1)}{=} A \stackrel{\$}{63.07} 164 \stackrel{(2)}{(2)}$ Class A Common 10/18/2018 P $1 \stackrel{(1)}{=} A \stackrel{\$}{61.69} 165 \stackrel{(3)}{(3)}$ L 200	eficially Form: Beneficial ned Direct (D) Ownership owing or Indirect (Instr. 4) orted (I) isaction(s) (Instr. 4) r. 3 and 4)	
Common 10/18/2018 P 1 (1) A 61.69 165   Stock (3) (3) (3) (3) (3) (3) (3) (3) (3) (3) (3) (3) (3) (1) <	Proportionate interest in I shares held b Rankin Associates V	у
1.200	Proportionate interest in I shares held by Rankin Associates VI	у
1,209	)9 D	

Class A Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(4)</u>				<u>(4)</u>	(4)	Class A Common Stock	444	

## **Reporting Owners**

Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Other Anne Farnham Rankin Trust 8/15/2012 5875 LANDERBROOK DRIVE Member of a Group **SUITE 300** CLEVELAND, OH 44124 Signatures /s/ Suzanne S. Taylor, 10/19/2018 attorney-in-fact

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-17 -Weighted Average- Share Price represents average price between \$62.63 and \$63.50.
- (3) 2018-Oct-18 -Weighted Average- Share Price represents average price between \$61.23 and \$62.03.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.