Rankin A Farnham Form 4 October 25, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \*

Rankin A Farnham

2. Issuer Name and Ticker or Trading Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(First)

(Month/Day/Year) 5875 LANDERBROOK DRIVE 10/23/2018

(Middle)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Sec	urities Ac	equired, Dispose	d of, or Benef	ficially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	10/23/2018		P	2 (1)	A	\$ 60.99 (2)	171	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/24/2018		Р	1 (1)	A	\$ 60.66 (4)	172	I	Proportionate interest in shares held by Rankin Associates VI

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Class A Common Stock	10/24/2018	P	2 (1)	A	\$ 62.11 (5)	174	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						17,987	I	proportionate LP interest in shares held by RA II LP which is held in a trust
Class A Common Stock						3,738	I	Held by Trust fbo Reporting Person
Class A Common Stock						1,209	I	Held by Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	r) Execution Date, if TransactionN any Code of (Month/Day/Year) (Instr. 8) D Se A (A D Of (Instr. 8) D Of (Instr. 8) D		5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Number Expiration D of (Month/Day/ Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		7. Title and A Underlying S (Instr. 3 and	ecurities	8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	17,987	
	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>		3,738	

(9-02)

8. Price o Derivativ Security (Instr. 5)

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Class B Class A Common Common Stock Stock Class B Class A (6) (6) Common <u>(6)</u> Common 444 Stock Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin A Farnham 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

10/25/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-23 -Weighted Average- Share Price represents average price between \$60.73 and \$61.20.
- (3) held in trust u/a/d 8/12/2012
- (4) 2018-Oct-24 -Block 1 Weighted Average- Share Price represents average price between \$60.33 and \$60.94.
- (5) 2018-Oct-24 -Block 2 Weighted Average- Share Price represents average price between \$61.73 and \$62.38.
- (6) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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