#### RANKIN VICTOIRE G

Form 4

October 31, 2018

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

RANKIN VICTOIRE G

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(First) 5875 LANDERBROOK DRIVE

(Street)

3. Date of Earliest Transaction

(Month/Day/Year) 10/30/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** Number:

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Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

1. Name and Address of Reporting Person \*

(Middle)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Ta	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	10/30/2018		P	2 (1)	A	\$ 60.97 (2)	184	I	Spouse's proportionate interest in shares held by Rankin Associates VI	
Class A Common Stock	10/30/2018		P	2 (1)	A	\$ 60.97 (2)	184	I	Reporting person's proportionate interest in shares held by Rankin	

								Associates VI
Class A Common Stock	10/30/2018	P	1 (1)	A	\$ 60.97 (2)	183	I	Spouse's brother's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/30/2018	P	1 (1)	A	\$ 62.46 (3)	63	I	spouse's proportionate interest in shares held by RAVI held by Rankin Management, Inc.
Class A Common Stock	10/30/2018	P	47 <u>(1)</u>	A	\$ 62.46 (3)	7,126	I	Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA6
Class A Common Stock	10/30/2018	P	94 (1)	A	\$ 62.46 (3)	17,863	I	Spouse serves as trustee for GSTs for the benefit of Helen Butler
Class A Common Stock	10/30/2018	P	94 (1)	A	\$ 62.46 (3)	17,863	I	Spouse serves as trustee for the GSTs for the benefit of Clara Williams
Class A Common Stock	10/30/2018	P	1 (1)	A	\$ 62.46 (3)	184	I	Spouse's brother's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						175,278	I	Represents Spouse's proportionate limited partnership interest in

			shares held by AMR Associates LP
Class A Common Stock	9,600	I	spouse serves as Trustee of Trusts for the benefit of each of grantor's grandchildren (4)
Class A Common Stock	14,752	I	Held in an Individual Retirement Account for the benefit of the Reporting Person's spouse (4)
Class A Common Stock	112,101	I	spouse serves as Trustee of a Trust for the benefit of Alfred M. Rankin, Jr (4)
Class A Common Stock	10,271	I	Spouse's proportionate limited partnership interest in shares held by RA1 (4)
Class A Common Stock	951	I	Spouses's proportionate limited parternship interest in shares held by AMR RAII (4)
Class A Common Stock	19	I	Spouse's proporationate partnership interest in RAIV (4)
Class A Common Stock	1,087	I	Spouses proportionate limited partnership

			interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	222	I	Represents spouse's proportionate interest in shares held by Rankin Associates V
Class A Common Stock	1,975	I	spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI")
Class A Common Stock	56	I	spouse's proportionate interest in shares held by RAV held by Rankin Management, Inc.
Class A Common Stock	18,625	I	spouse serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin, Sr (4)
Class A Common Stock	42,666	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA1
Class A Common Stock	26,119	I	Spouse is a Trustee of a Trust for the benefit of BT

			Rankin, Trust proporationate interest in RAII (4)
Class A Common Stock	46,182	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA4 (4)
Class A Common Stock	18,452	I	Spouse is a Trustee of a Trust for the benefit of Bruce T. Rankin (4)
Class A Common Stock	109,983	I	Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA5
Class A Common Stock	6,143	I	spouse serves as the Trustee of the Trust which is held for the benefit of Clara LT Rankin
Class A Common Stock	45,582	I	serves as Trustee of a Trust for the benefit of Victoire G. Rankin

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date Underlying Securi		Securities	8. Price Derivati Security (Instr. 5]
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(5)</u>					(5)	<u>(5)</u>	Class A Common Stock	204,146	
Class B Common Stock	<u>(5)</u>					(5)	(5)	Class A Common Stock	9,600	
Class B Common Stock	(5)					<u>(5)</u>	<u>(5)</u>	Class A Common Stock	14,160	
Class B Common Stock	(5)					<u>(5)</u>	<u>(5)</u>	Class A Common Stock	17,556	
Class B Common Stock	<u>(5)</u>					(5)	(5)	Class A Common Stock	18,373	

Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A Common Stock	951
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	31
Class B Common Stock	( <u>5)</u>	(5)	<u>(5)</u>	Class A Common Stock	1,721
Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A Common Stock	1,975
Class B Common Stock	( <u>5)</u>	(5)	<u>(5)</u>	Class A Common Stock	18,625
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	76,330

Class B Common Stock	(5)	(5)	<u>(5)</u>	Class A Common Stock	26,119
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	73,166
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	747
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	(5)	Class A Common Stock	6,889
Class B Common Stock	<u>(5)</u>	(5)	(5)	Class A Common Stock	6,889
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	(5)	Class A Common Stock	21,006

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

RANKIN VICTOIRE G 5875 LANDERBROOK DRIVE Member of a Group

Reporting Owners 8

#### MAYFIELD HEIGHTS, OH 44124

# **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

10/31/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-30 -Block 1 Weighted Average- Share Price represents average price between \$60.63 and \$61.00.
- (3) 2018-Oct-30 -Block 2 Weighted Average- Share Price represents average price between \$62.25 and \$62.49.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A
  - BTR RA4-Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's
- (6) spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 9