Rankin Lynne T Form 4 October 31, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Rankin Lynne T

2. Issuer Name and Ticker or Trading

Symbol

(Middle)

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

Issuer

(Check all applicable)

(First)

3. Date of Earliest Transaction

(Month/Day/Year) 10/29/2018

Director 10% Owner Officer (give title \_\_X\_ Other (specify below)

5. Relationship of Reporting Person(s) to

below)

Member of a Group

5875 LANDERBROOK DRIVE

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MAYFIELD HEIGHTS, OH 44124

(Street)

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Sec	urities Ac	equired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	10/29/2018		P	1 (1)	A	\$ 61.53 (2)	180	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	10/29/2018		P	1 (1)	A	\$ 61.53 (2)	180	I	Co-trustee of trust fbo nephew. Reflects

								proportionate interests in Rankin Assoc VI shares
Class A Common Stock	10/29/2018	P	2 (1)	A	\$ 61.53 (2)	181	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/29/2018	P	2 (1)	A	\$ 61.53	181	I	Reporting Person's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	10/29/2018	P	1 (1)	A	\$ 61.53 (2)	180	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/29/2018	P	1 (1)	A	\$ 61.53 (2)	180	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/29/2018	P	1 (1)	A	\$ 61.91 (3)	181	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	10/29/2018	P	1 (1)	A	\$ 61.91 (3)	181	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common	10/29/2018	P	1 (1)	A	\$ 61.91	181	I	Child's proportionate

Stock					(3)			interest in shares held by Rankin Associates VI
Class A Common Stock	10/29/2018	P	1 (1)	A	\$ 61.91 (3)	181	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/30/2018	P	1 (1)	A	\$ 60.97 (4)	182	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	10/30/2018	P	1 (1)	A	\$ 60.97 (4)	182	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	10/30/2018	P	1 (1)	A	\$ 60.97 (4)	182	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/30/2018	P	1 (1)	A	\$ 60.97 (4)	182	I	Reporting Person's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	10/30/2018	Р	1 (1)	A	\$ 62.46 (5)	183	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares

Class A Common Stock	10/30/2018	P	1 (1)	A	\$ 62.46 (5)	183	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	10/30/2018	P	2 (1)	A	\$ 62.46 (5)	184	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/30/2018	P	2 (1)	A	\$ 62.46 (5)	184	Ĭ	Reporting Person's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock						262	I	child's proportionate limited partnership interest in shares held by Rankin Associates II
Class A Common Stock						9,665	I	Spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L.P (6)
Class A Common Stock						15,853	I	Shares held by Spouse. (6)
Class A Common Stock						563	D	
Class A Common Stock						862	Ι	proportionate limited partnership

		interests in shares held by Rankin Associates II, L.P
Class A Common Stock	454 I	Minor child?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	1,553 I	Spouse is co-trustee of Trust fbo niece. Reflects proportionate interests in Rankin Assoc II shares. (6)
Class A Common Stock	645 I	Spouse serves as co-trustee of a trust for the benefit of minor niece.
Class A Common Stock	1,405 I	Spouse is co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares (6)
Class A Common Stock	563 I	Reporting Person's Spouse is co-trustee of the trust of minor nephew (6)
Reminder: Report on a separate line for each class of securities bene	ficially owned directly or indirectly.  Persons who respond to the collection information contained in this form are	

required to respond unless the form

# displays a currently valid OMB control number.

# $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and	Securities 1
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Class A Common Stock	262
Class B Common Stock	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Class A Common Stock	9,665
Class B Common Stock	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Class A Common Stock	15,683
Class B Common Stock	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Class A Common Stock	563
Class B Common Stock	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Class A Common Stock	862

8. Price o Derivativ Security (Instr. 5)

Class B Common Stock	(7)	<u>(7)</u>	<u>(7)</u>	Class A Common Stock	454
Class B Common Stock	<u>(7)</u>	<u>(7)</u>	<u>(7)</u>	Class A Common Stock	1,553
Class B Common Stock	(7)	<u>(7)</u>	<u>(7)</u>	Class A Common Stock	645
Class B Common Stock	(7)	<u>(7)</u>	<u>(7)</u>	Class A Common Stock	1,405
Class B Common Stock	<u>O</u>	<u>(7)</u>	<u>(7)</u>	Class A Common Stock	563

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin Lynne T 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

Reporting Owners 7

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

10/31/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-29 -Block 1 Weighted Average- Share Price represents average price between \$60.86 and \$61.82.
- (3) 2018-Oct-29 -Block 2 Weighted Average- Share Price represents average price between \$61.89 and \$62.00.
- (4) 2018-Oct-30 -Block 1 Weighted Average- Share Price represents average price between \$60.63 and \$61.00.
- (5) 2018-Oct-30 -Block 2 Weighted Average- Share Price represents average price between \$62.25 and \$62.49.
- (6) Reporting Person disclaims beneficial ownership of all such shares.
- (7) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 8