Rankin Margaret Pollard Form 4 November 06, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

Number:

3235-0287

January 31, Expires: 2005

Estimated average burden hours per 0.5

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Rankin Margaret Pollard

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

3. Date of Earliest Transaction

(Month/Day/Year) 11/02/2018

Director 10% Owner Officer (give title \_\_X\_ Other (specify

(Check all applicable)

below) below) Member of a Group

5875 LANDERBROOK DRIVE (Street)

(First)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

MAYFIELD HEIGHTS, OH 44124

| (City)                               | (State)                                 | (Zip) Tal   | ble I - Non                            | -Derivativ                            | e Sec                        | urities Ac         | quired, Dispose  | d of, or Benef   | ficially Owned  |
|--------------------------------------|---|---|--|---------------------------------------|------------------------------|--------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or D<br>(Instr. 3, | ispose<br>4 and<br>(A)<br>or | ed of (D)          | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)         |
| Class A<br>Common<br>Stock           | 11/02/2018                              |   | P                                      | 1 (1)                                 | A                            | \$ 63.5            | 189  | I  | Proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI |
| Class A<br>Common<br>Stock           | 11/05/2018                              |   | P                                      | 1 (1)                                 | A                            | \$<br>64.98<br>(2) | 190  | I  | Proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI |
| Class A<br>Common                    |   |   |  |                                       |                              |                    | 454  | I  | Reflects proportionate  |

Stock

interests in shares held by Rankin Associates II. Father as custodian.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

8. Price of Derivative Security (Instr. 5)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D) |                     |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |  |
|---|--|---|---|---------------------------------------|--|---------------------|--------------------|---|--|--|
|   |  |   |   | Code V                                | (Instr. 3, 4, and 5)  (A) (D)                          | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |  |
| Class B<br>Common<br>Stock                          | (3)  |   |   |                                       |  | (3)                 | <u>(3)</u>         | Class A<br>Common<br>Stock                                    | 454                                    |  |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin Margaret Pollard 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

Reporting Owners 2

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

11/06/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-5-Weighted Average Share Price represents average price between \$64.83 and \$64.99.
- (3) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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