Rankin Lynne T Form 4

## November 06, 2018 FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \*

Rankin Lynne T

(Last)

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(First) 5875 LANDERBROOK DRIVE 3. Date of Earliest Transaction

(Month/Day/Year) 11/02/2018

(Street) 4. If Amendment, Date Original

(Middle)

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/02/2018		P	1 (1)	A	\$ 63.5	189	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/02/2018		P	1 (1)	A	\$ 63.5	189	I	Co-trustee of trust fbo nephew.

								proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/02/2018	P	1 (1)	A	\$ 63.5	189	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/02/2018	P	1 (1)	A	\$ 63.5	189	I	Reporting Person's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	11/02/2018	P	1 (1)	A	\$ 63.5	189	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/02/2018	P	1 (1)	A	\$ 63.5	189	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/05/2018	P	1 (1)	A	\$ 64.98 (2)	190	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/05/2018	P	1 (1)	A	\$ 64.98 (2)	190	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common	11/05/2018	P	2 (1)	A	\$ 64.98	191	I	Spouse's proportionate

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Stock					(2)			interest in shares held by Rankin Associates VI
Class A Common Stock	11/05/2018	P	2 (1)	A	\$ 64.98 (2)	191	I	Reporting Person's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	11/05/2018	P	1 (1)	A	\$ 64.98 (2)	190	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/05/2018	P	1 (1)	A	\$ 64.98 (2)	190	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						262	I	child's proportionate limited partnership interest in shares held by Rankin Associates II
Class A Common Stock						9,665	I	Spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L.P. (3)
Class A Common Stock						15,853	I	Shares held by Spouse. (3)
Class A Common Stock						563	D	
						862	I	

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Class A Common Stock			proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock	454	I	Minor child?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	1,553	I	Spouse is co-trustee of Trust fbo niece. Reflects proportionate interests in Rankin Assoc II shares. (3)
Class A Common Stock	645	I	Spouse serves as co-trustee of a trust for the benefit of minor niece.
Class A Common Stock	1,405	I	Spouse is co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares (3)
Class A Common Stock	563	I	Reporting Person's Spouse is co-trustee of the trust of minor nephew (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 8. Price o Derivativ Security (Instr. 5)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	262
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	9,665
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	15,683
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	563
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	862

Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	454
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	1,553
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	645
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	1,405
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	563

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin Lynne T Member of a Group 5875 LANDERBROOK DRIVE

Reporting Owners 6

#### MAYFIELD HEIGHTS, OH 44124

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

11/06/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-5-Weighted Average Share Price represents average price between \$64.83 and \$64.99.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 7