

BUTLER HELEN RANKIN

Form 4

November 06, 2018

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BUTLER HELEN RANKIN

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE,  
SUITE 300

3. Date of Earliest Transaction (Month/Day/Year)  
11/02/2018

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

(Street)  
MAYFIELD HEIGHTS, OH 44124

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)	
				(A) or (D)	Price			
				Code	V	Amount		
Class A Common Stock	11/02/2018		P	198 <sup>(1)</sup> A	\$ 63.5	90,277	I	held by Trust for the benefit of Reporting Person
Class A Common Stock	11/02/2018		P	1 <sup>(1)</sup> A	\$ 63.5	190	I	Reporting person's proportionate interest in shares held by Rankin Associates VI

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Class A Common Stock	11/02/2018	P	1 <u>(1)</u>	A	\$ 63.5	190	I	Spouse's proportionate interest in Rankin Associates VI
Class A Common Stock	11/02/2018	P	1 <u>(1)</u>	A	\$ 63.5	189	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/02/2018	P	1 <u>(1)</u>	A	\$ 63.5	189	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/05/2018	P	186 <u>(1)</u>	A	\$ 64.9841	90,463	I	held by Trust for the benefit of Reporting Person
Class A Common Stock	11/05/2018	P	1 <u>(1)</u>	A	\$ 64.98 <u>(2)</u>	191	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/05/2018	P	1 <u>(1)</u>	A	\$ 64.98 <u>(2)</u>	191	I	Spouse's proportionate interest in Rankin Associates VI
Class A Common Stock	11/05/2018	P	1 <u>(1)</u>	A	\$ 64.98 <u>(2)</u>	190	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/05/2018	P	1 <u>(1)</u>	A	\$ 64.98 <u>(2)</u>	190	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common						279	I	Child's proportionate

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Stock				partnership interest shares held by AMR Associates LP
Class A Common Stock	8,211	I		child's proportionate limited partnership interests in shares held by Rankin Associates II, L.P <sup>(3)</sup>
Class A Common Stock	4,513	I		held in Trust for the benefit of Reporting Person's minor child <sup>(3)</sup>
Class A Common Stock	279	I		Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	8,367	I		child's proportionate limited partnership interests in shares held by Rankin Associates II, L.P <sup>(3)</sup>
Class A Common Stock	4,357	I		held in trust for the benefit of Reporting Person's minor child <sup>(3)</sup>
Class A Common Stock	5,126	I		proportionate partnership interest shares held by AMR Associates LP
Class A Common	49,811	I		proportionate limited

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Stock				partnership interest in shares held by Rankin Associates I, L.P.
Class A Common Stock	12,058	I		proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock	32,369	I		proportionate limited partnership interests in shares held by Rankin Associates IV, L.P.
Class A Common Stock	2,800	I		Individual Retirement Account for the benefit of the Reporting Person's spouse <sup>(3)</sup>
Class A Common Stock	7,634	I		spouse's proportionate limited partnership interests in shares held by Rankin Associates II, LP <sup>(3)</sup>
Class A Common Stock	26,313	I		spouse serves as Trustee of the J.C. Butler, Jr. Revocable Trust. <sup>(3)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not**

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(9-02)

required to respond unless the form displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(4)					(4)	(4)	Class A Common Stock	326
Class B Common Stock	(4)					(4)	(4)	Class A Common Stock	8,211
Class B Common Stock	(4)					(4)	(4)	Class A Common Stock	3,683
Class B Common Stock	(4)					(4)	(4)	Class A Common Stock	326
Class B Common Stock	(4)					(4)	(4)	Class A Common Stock	8,367

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Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	3,527
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	5,972
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	89,105
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	12,058
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	51,283
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	58,586
Class B Common	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common	2,800

