#### WILLIAMS DAVID B

Form 4 April 03, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \*

WILLIAMS DAVID B

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

3. Date of Earliest Transaction

(First)

5875 LANDERBROOK DRIVE

(Month/Day/Year) 04/01/2019

(Street)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

Issuer

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tal	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)		sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Common Stock	04/01/2019		P	782 (1)	A	\$ 64.5419	114,707	I	Held by trust for the benefit of Reporting Person's Spouse (2)		
Class A Common Stock	04/01/2019		P	1 (1)	A	\$ 64.54 (3)	511	I	Spouse's proportionate interest in shares held by Rankin Associates VI (2)		

Class A Common Stock	04/01/2019	P	1 (1)	A	\$ 64.54 (3)	606	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	04/01/2019	P	1 (1)	A	\$ 64.54 ( <u>3)</u>	605	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	04/01/2019	P	1 (1)	A	\$ 64.54 ( <u>3)</u>	605	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	04/02/2019	P	782 <u>(1)</u>	A	\$ 64.7064	115,489	I	Held by trust for the benefit of Reporting Person's Spouse (2)
Class A Common Stock	04/02/2019	P	1 (1)	A	\$ 64.71 (4)	102	I	Spouse's proportionate interests in shares held by Rankin Associates V
Class A Common Stock	04/02/2019	P	1 (1)	A	\$ 64.71 ( <u>4)</u>	512	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	04/02/2019	P	2 (1)	A	\$ 64.71 (4)	608	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common	04/02/2019	P	2 (1)	A	\$ 64.71 (4)	607	I	Child's proportionate

Stock								interest in shares held by Rankin Associates VI
Class A Common Stock	04/02/2019	P	2 (1)	A	\$ 64.71 (4)	607	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						81,009	I	Spouse's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock						49,811	I	Spouse's proportionate interests in shares held by Rankin Associates I.
Class A Common Stock						11,750	I	Spouse's proportionate interests in shares held by Rankin Associates II.
Class A Common Stock						32,369	I	Spouse's proportionate interests in shares held by Rankin Associates IV. (2)
Class A Common Stock						3,162	D	
Class A Common Stock						7,104	I	proportionate LP interest in shares held by RA II, L.P
Class A Common Stock						677	I	Child's proportionate partnership

			interest shares held by AMR Associates LP
Class A Common Stock	9,945	I	Minor child's trust?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	2,983	I	Reporting Person is Trustee of a Trust for the benefit of Reporting Person's minor child (2)
Class A Common Stock	677	I	Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	8,570	I	Minor child's trust?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	4,357	I	Reporting Person is Trustee of a Trust for the benefit of Reporting Person's minor child (2)
Reminder: Report on a separate line for each class of securities benefic	ially owned directly or indirectly.  Persons who respond to the colle information contained in this form		SEC 1474
	required to respond unless the for		(9-02)

# displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(5)</u>					(5)	(5)	Class A Common Stock	94,355	
Class B Common Stock	<u>(5)</u>					(5)	(5)	Class A Common Stock	89,105	
Class B Common Stock	<u>(5)</u>					(5)	<u>(5)</u>	Class A Common Stock	11,750	
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Class A Common Stock	51,283	
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Class A Common Stock	58,586	

Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	2,332
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	7,104
Class B Common Stock	(5)	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	790
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	9,945
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	(5)	Class A Common Stock	2,152
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	790
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	8,570
	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>		3,528

Class B
Common
Stock
Class A
Common
Stock

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

WILLIAMS DAVID B 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

### **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

04/03/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) 2019-Apr-1-Weighted Average Share Price represents average price between \$64.20 and \$64.99.
- (4) 2019-Apr-2-Weighted Average Share Price represents average price between \$64.29 and \$64.99.
- (**5**) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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