

PARKER SIR JOHN
Form 4
April 18, 2019

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
PARKER SIR JOHN

2. Issuer Name and Ticker or Trading Symbol
CARNIVAL PLC [CUK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
04/17/2019

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O CARNIVAL CORPORATION, 3655 N.W. 87TH AVENUE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

MIAMI, FL 33178

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (D) Price			
Trust Shares (beneficial Interest In Special Voting Share) ⁽¹⁾	04/17/2019		A ⁽²⁾	3,193 ⁽³⁾ A \$ 0	29,409.1875 ⁽⁴⁾	D	
Ordinary Shares					3,004	D	
Ordinary Shares					7,000	I ⁽⁵⁾	By Trust ⁽⁶⁾

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- (2) Issued pursuant to the Carnival Corporation 2011 Stock Plan. The restriction on the shares lapses on the third anniversary of the grant date.

The Board of Directors approved a value of \$175,000 to be awarded to the reporting person in the form of restricted shares. The number

(3) of shares was determined by dividing the closing price of a share of Carnival Corporation common stock on April 16, 2019 and dividing it into \$175,000, then rounding down to the nearest whole share.

- (4) Includes shares acquired pursuant to the dividend reinvestment feature of the reporting person's brokerage account.

- (5) Shares held by Whitefoord Limited on behalf of GHM Trustees Limited, the trustee for the reporting person's Fixed Unapproved Restricted Retirement Scheme.

- (6) Shares held by Roy Nominees Limited on behalf of GHM Trustees Limited, the trustee for the reporting person's Fixed Unapproved Restricted Retirement Scheme.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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