Edgar Filing: SM Energy Co - Form 4

SM Energy Co Form 4 July 03, 2013 FORM 4 MINITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, etion 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b).								
(Print or Type Responses) 1. Name and Address of Reporting Person Copeland David W	Symbol		ling	5. Relationship of I Issuer	Reporting Pers	son(s) to		
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 1775 SHERMAN STREET, SUITE 07/01/2013 1200				(Check all applicable) <u>X</u> Officer (give title 10% Owner below) EVP, GC & Corp. Sec.				
(Street) DENVER, CO 80203	4. If Amendment, D Filed(Month/Day/Yea	-		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(City) (State) (Zip)	Table I - Non-	Derivative Secu	ırities Acq	uired, Disposed of,	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. I Exec any (Month/Day/Year)		4. Securities tion(A) or Dispos (Instr. 3, 4 an) (A or	sed of (D) d 5)	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock; \$.01 06/28/2013 Par Value	Code V J <u>(1)</u>	V Amount (D 478 A		(Instr. 3 and 4) 39,042	D			
Common Stock; \$.01 07/01/2013 Par Value	М	3,878 A	<u>(2)</u>	42,920	D			
Common Stock; \$.01 07/01/2013 Par Value	F	1,241 D	\$ 59.98	41,679	D			
Common 07/01/2013 Stock; \$.01	М	377 A	<u>(3)</u>	42,056	D			

Par V	/alue
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Common Stock; \$.01 07/01 Par Value	/2013	F	121	D	\$ 59.98	41,935	D
Common Stock; \$.01 07/01 Par Value	/2013	М	933	A	<u>(4)</u>	42,868	D
Common Stock; \$.01 07/01 Par Value	/2013	F	299	D	\$ 59.98	42,569	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Transaction of Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(2)</u>	07/01/2013		М		3,878	07/01/2013	07/01/2013	Common Stock	3,878
Restricted Stock Units	<u>(3)</u>	07/01/2013		М		377	07/01/2013	07/01/2013	Common Stock	377
Restricted Stock Units	<u>(4)</u>	07/01/2013		М		933	07/01/2013	07/01/2013	Common Stock	933

Reporting Owners

Reporting Owner Name / Address			Relationships	
	Director	10% Owner	Officer	Other

Copeland David W 1775 SHERMAN STREET SUITE 1200 DENVER, CO 80203

Signatures

Karin M. Writer (Attorney-In-Fact)

07/03/2013

Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person purchased 478 shares of the issuer's common stock on June 28, 2013, through the issuer's Employee Stock Purchase Plan.

Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vested over a

- (2) three-year period, with 1/7th vesting on July 1, 2011, 2/7th vesting on July 2, 2012, and 4/7th vesting on July 1, 2013. The vested shares were issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares lapsed.
- Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests over a three-year(3) period, with 1/7th vesting on July 2, 2012, 2/7th vesting on July 1, 2013, and 4/7th vesting on July 1, 2014. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.

Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests in three equal(4) annual installments beginning on July 1, 2013. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

EVP, GC & Corp. Sec.