Dermira, Inc. Form 4 October 08, 2014

### FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

Form 4 or Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Canaan VIII LP			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			Dermira, Inc. [DERM]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	•			
285 RIVERS	IDE AVE, S	TE 250	(Month/Day/Year) 10/08/2014	X Director X 10% Owner Officer (give title below) Other (specify below)			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line)			

#### WESTPORT, CT 06880

((	City)	(State)	(Zip) Tab	le I - Non-l	Derivative Sec	urities .	Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title Securi (Instr.	ty	2. Transaction Date (Month/Day/Year)	ransaction Date 2A. Deemed nth/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired (A) Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Com		10/08/2014		Code V	Amount 2,450,873	٨	Price \$ 0 (1)	2,450,873	D (2)	
Com		10/08/2014		P	49,276	A	\$ 16	2,500,149	D (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	TransactionDerivative Code Securities		Derivative Expiration Date ecurities (Month/Day/Year) acquired (A) or Disposed of (D)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A Preferred Stock	\$ 0 (1)	10/08/2014		C		1,708,040	<u>(1)</u>	(3)	Common Stock	1,708,04
Series B Preferred Stock	\$ 0 (1)	10/08/2014		C		469,878	<u>(1)</u>	(3)	Common Stock	469,878
Series C Preferred Stock	\$ 0 (1)	10/08/2014		C		272,955	<u>(1)</u>	(3)	Common Stock	272,955

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
r g	Director	10% Owner	Officer	Other			
Canaan VIII LP 285 RIVERSIDE AVE STE 250 WESTPORT, CT 06880	X	X					
Canaan Partners VIII LLC 285 RIVERSIDE AVE STE 250 WESTPORT, CT 06880		X					

## **Signatures**

Canaan VIII L.P. By: Canaan Partners VIII LLC its General Partner /s/ Jaime Slocum Attorney-in-Fact				
**Signature of Reporting Person	Date			
Canaan Partners VIII LLC By: /s/ Jaime Slocum Attorney-in-Fact				
**Signature of Reporting Person	Date			

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- In connection with the consummation of the Issuer's initial public offering on October 8, 2014, each share of Preferred Stock
- (1) automatically converted into one (1) share of Common Stock for no additional consideration. All shares of Common Stock issued upon conversion were aggregated.
- (2) Shares held directly by Canaan VIII L.P. (the "Canaan Fund"). Canaan Partners VIII LLC ("Canaan VIII" and together with the Canaan Fund, the "Canaan Entities") is the sole general partner of the Canaan Fund and each may be deemed to have sole voting, investment and

Reporting Owners 2

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dispositive power with respect to the shares held by the Canaan Fund. Wende Hutton, a manager and member of Canaan VIII, serves as the representative of the Canaan Entities on the Issuer's board of directors. Investment and voting decisions with respect to the shares held by the Canaan Fund are made by the managers of Canaan VIII, collectively, and no manager of Canaan VIII has beneficial ownership of any shares held by Canaan VIII L.P.

#### (3) None.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.