

OCCIDENTAL PETROLEUM CORP /DE/

Form 3

May 06, 2016

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Vangolen Glenn M.

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

04/29/2016

3. Issuer Name and Ticker or Trading Symbol

OCCIDENTAL PETROLEUM CORP /DE/ [OXY]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ X Officer ☐ Other
(give title below) (specify below)

Senior VP - Business Support

6. Individual or Joint/Group
Filing(Check Applicable Line)☒ X Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person5 GREENWAY PLAZA, SUITE
110

(Street)

HOUSTON,Â TXÂ 77046

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

12,022 ⁽¹⁾

D

Â

Common Stock

2,466 ⁽²⁾

I

by OPC Savings Plan

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of5. Ownership
Form of
Derivative6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

Edgar Filing: OCCIDENTAL PETROLEUM CORP /DE/ - Form 3

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|--|---------------------|--------------------|-----------------|----------------------------------|------------------------|---|---|
| Employee Stock Options (right to buy) | Â (3) | 02/11/2022 | Common Stock | 35,000 | \$ 79.98 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Vangolen Glenn M. 5 GREENWAY PLAZA, SUITE 110 HOUSTON, TX 77046 | Â | Â | Â Senior VP - Business Support | Â |

Signatures

Kelly A. Gaide, Attorney-in-Fact for Glenn M.
Vangolen

05/06/2016

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The amount reported consists of 3,677 shares of the issuer's common stock held by the reporting person, 7,200 restricted stock units ("RSUs") granted to the reporting person under the issuer's 2015 Long-Term Incentive Plan on February 17, 2016, and 1,145 shares of (1) restricted stock (time-based vesting) ("RSITVs") granted to the reporting person under the issuer's 2005 Long-Term Incentive Plan (the "2005 LTIP") on July 22, 2013. Each RSU represents a contingent right to receive one share of the issuer's common stock. The RSUs vest in three equal annual installments beginning on February 28, 2017. The RSITVs vest on July 21, 2016.

(2) Based on a plan statement dated May 2, 2016.

The employee stock options (the "options") were granted to the reporting person under the 2005 LTIP on February 11, 2015. The first (3) tranche, consisting of 11,667 options, vested on February 10, 2016 but remains unexercised. The second tranche, consisting of 11,667 options, will vest on February 10, 2017, and the third tranche, consisting of 11,666 options, will vest on February 10, 2018.

Â

Remarks:

Exhibit List

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.