FORTINET INC

Form 4

September 02, 2016

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

**OMB APPROVAL** 

Number:

3235-0287

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January 31, 2005

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obligations

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Whittle John

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

(Last)

(First)

(Middle)

FORTINET INC [FTNT]

(Check all applicable)

VP CorpDev&Strat Alliance, GC

C/O FORTINET, INC., 899 KIFER

3. Date of Earliest Transaction

(Month/Day/Year) 09/01/2016

Director 10% Owner X\_ Officer (give title \_Other (specify

below) below)

ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

SUNNYVALE, CA 94086

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 6 Ownership 7. Nature of A Securities Acquired 5 Amount of 1 Title of 2 Transaction Data 24 Deemed

1.111116 01	2. Transaction Date	ZA. Deemed	٥.	4. Securi	ues A	equirea	5. Amount of	o. Ownersnip	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of			Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	(D)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)
					(4)		Reported		
					(A)		Transaction(s)		
			C = V	<b>A</b>	or	ъ.	(Instr. 3 and 4)		
~			Code V	Amount	(D)	Price			
Common	09/01/2016		$M_{(1)}^{(1)}$	834	A	\$	5,515	D	
Stock	07/01/2010		111	0.57	11	26.7	5,515	Ь	
~						4			
Common	09/01/2016		S(1)	834	D	\$	4,681	D	
Stock	07/01/2010		5 <u>~</u>	057	ט	36.7	7,001	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 26.7	09/01/2016		M <u>(1)</u>	834	(2)	02/14/2019	Common Stock	834

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Whittle John C/O FORTINET, INC. 899 KIFER ROAD SUNNYVALE, CA 94086

VP CorpDev&Strat Alliance, GC

## **Signatures**

/s/ John Whittle 09/02/2016

\*\*Signature of Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on February 12, 2016.
- (2) The option is 100% vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2