

WhiteHorse Finance, Inc.
Form 4
October 01, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TAMER ANTHONY

(Last) (First) (Middle)

1450 BRICKELL AVENUE, 31ST FLOOR

(Street)

MIAMI, FL 33131

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
WhiteHorse Finance, Inc. [WHF]

3. Date of Earliest Transaction
(Month/Day/Year)
09/25/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___X___ 10% Owner
___ Officer (give title below) ___ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) Code V Amount (D) Price | | | |
| Common Stock, par value \$0.001 per share | | | | | 160,859 | I | See footnote (1) |
| Common Stock, par value \$0.001 per share | | | | | 4,002 | D | |
| Common Stock, par | 09/25/2015 | 09/29/2015 ⁽³⁾ | P | 50,000 A \$ | 8,081,298 | I | See footnote |
| | | | | | 13.69 | | |

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| | | | | | | | | | |
|---|------------|---------------------------|---|-------|---|----------|-----------|---|------------------|
| value | | | | | | | | | (2) |
| \$0.001 per share | | | | | | | | | |
| Common Stock, par value \$0.001 per share | 09/28/2015 | 09/29/2015 ⁽³⁾ | P | 9,100 | A | \$ 12.63 | 8,090,398 | I | See footnote (2) |
| Common Stock, par value \$0.001 per share | 09/29/2015 | | P | 9,100 | A | \$ 11.97 | 8,099,498 | I | See footnote (2) |
| Common Stock, par value \$0.001 per share | 09/30/2015 | | P | 9,100 | A | \$ 12.11 | 8,108,598 | I | See footnote (2) |
| Common Stock, par value \$0.001 per share | 10/01/2015 | | P | 5,610 | A | \$ 12.01 | 8,114,208 | I | See footnote (2) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| TAMER ANTHONY 1450 BRICKELL AVENUE, 31ST FLOOR MIAMI, FL 33131 | | X | | |

Signatures

| | |
|---|------------|
| /s/ Marco Collazos, Attorney-in-Fact for Anthony Tamer | 10/01/2015 |
| __Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) Mr. Tamer is the President of Tamer H.I.G. Management, L.P., which owns the reported securities. Mr. Tamer disclaims beneficial ownership of shares of common stock held by Tamer H.I.G. Management, L.P., except to the extent of his direct pecuniary interest therein.
 - (2) Due to his ownership interest in the General Partner of H.I.G. Bayside Debt & LBO Fund II, L.P. and H.I.G. Bayside Loan Opportunity Fund II, L.P., Mr. Tamer may be viewed as having investment power over all of the shares owned by each entity. Mr. Tamer disclaims beneficial ownership of shares of common stock held by H.I.G. Bayside Debt & LBO Fund II, L.P. and H.I.G. Bayside Loan Opportunity Fund II, L.P., except to the extent of his direct pecuniary interest therein.
 - (3) The date of execution was determined in accordance with Rule 16a-3(g)(2) and (g)(4) under the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.