### Edgar Filing: ALBEMARLE CORP - Form 5

#### ALBEMARLE CORP

Check this box if

Form 5

February 14, 2017

#### **OMB APPROVAL** FORM 5 **OMB**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

no longer subject to Section 16. ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form 5 obligations OWNERSHIP OF SECURITIES may continue.

2005 Estimated average burden hours per response... 1.0

Number:

Expires:

3235-0362

January 31,

See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4 Transactions

Reported

1. Name and Address of Reporting Person \* HERNANDEZ WILLIAM H

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

ALBEMARLE CORP [ALB]

(Middle)

3. Statement for Issuer's Fiscal Year Ended

(Check all applicable) X\_ Director 10% Owner

(Month/Day/Year)

12/31/2016

Officer (give title below) below)

Other (specify

**ALBEMARLE** CORPORATION, Â 4350

(Last)

**CONGRESS STREET, SUITE 700** 

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

CHARLOTTE, NCÂ 28209

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

Transaction Code (Instr. 8)

4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year

6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

7. Nature of

(A) or Amount (D) Price

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 2270** (9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if Transaction Number Conversion

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of 8. Pric Underlying Securities Deriva

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	of Derivat Securit Acquire	tive ies	Month/Day/Year)		(Instr. 3 and	4)	Securi (Instr.
					(A) or Disposed of (D) (Instr. 3, 4, and 5)						
					(A) (I	-	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom	Â	Â	Â	Â	ÂÂ	Â	(2)	(3)	Common	9,366	Â

Stock

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>FG</b> 0 0 7	Director	10% Owner	Officer	Other			
HERNANDEZ WILLIAM H							
ALBEMARLE CORPORATION	â v	Â	â	â			
4350 CONGRESS STREET, SUITE 700	АЛ	Α	Α	A			
CHARLOTTE, NC 28209							

# **Signatures**

Stock

/s/ Brian Webb, Attorney-in-fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom Stock units convert 1 for 1 into common stock. Represents deferred director compensation.
- (2) Exercisable in a single lump sum on February 15th of the calendar year after the year in which the reporting person is no longer a director.
- (3) No expiration date.
- (4) Balance includes dividend equivalent rights earned based on total shares of Phantom Stock in Director's deferred stock account.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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