GRAN TIERRA ENERGY INC.

Form 4 May 15, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

Issuer

West Lawrence

GRAN TIERRA ENERGY INC.

(Check all applicable)

5. Relationship of Reporting Person(s) to

[GTE]

(Last) (First) (Middle)

(Street)

(State)

3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify

(Zip)

(Month/Day/Year) 05/12/2017

below) Vice President, Exploration

C/O GRAN TIERRA ENERGY INC., 900, 520 3 AVENUE SW

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

CALGARY, A0 T2P 0R3

(City)

|                 |                     | Tuble 1 Non Berryadive Securities Required, Disposed 61, 61 Beneficiany 6 whea |                            |                     |           |           |                  |              |              |  |  |
|-----------------|---------------------|--|----------------------------|---------------------|-----------|-----------|------------------|--------------|--------------|--|--|
| 1.Title of      | 2. Transaction Date | 2A. Deemed   | 3.                         | 4. Securi           | ities     |           | 5. Amount of     | 6. Ownership | 7. Nature of |  |  |
| Security        | (Month/Day/Year)    | Execution Date, if   | TransactionAcquired (A) or |                     |           |           | Securities       | Form: Direct | Indirect     |  |  |
| (Instr. 3)      | •                   | any  | Code                       | Disposed of (D)     |           |           | Beneficially     | (D) or       | Beneficial   |  |  |
|                 |                     | (Month/Day/Year)   | (Instr. 8)                 | (Instr. 3, 4 and 5) |           |           | Owned            | Indirect (I) | Ownership    |  |  |
|                 |                     |  |                            |                     |           | Following | (Instr. 4)       | (Instr. 4)   |              |  |  |
|                 |                     |  |                            |                     | (4)       |           | Reported         |              |              |  |  |
|                 |                     |  |                            |                     | (A)       |           | Transaction(s)   |              |              |  |  |
|                 |                     |  | Code V                     | Amount              | or<br>(D) | Price     | (Instr. 3 and 4) |              |              |  |  |
| Common          | 05/12/2017          |  | M                          | 6,667               | A         | (1)       | 251,697          | D            |              |  |  |
| Stock           | 03/12/2017          |  | 1V1                        | 0,007               | Λ         | 117       | 231,077          | D            |              |  |  |
| Common<br>Stock | 05/12/2017          |  | D                          | 6,667               | D         | \$<br>2.5 | 245,030          | D            |              |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number Transaction Derivation Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4) and 5) |         | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. P<br>Der<br>Sec<br>(Ins |
|---|---|--------------------------------------|---|---|---------|--|--------------------|---|--|----------------------------|
|   |   |                                      |   | Code V  | (A) (D) | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                            |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 05/12/2017                           |   | M   | 6,667   | (2)  | (2)                | Common<br>Stock   | 6,667                                  |                            |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

West Lawrence C/O GRAN TIERRA ENERGY INC. 900, 520 3 AVENUE SW CALGARY, A0 T2P 0R3

Vice President, Exploration

## **Signatures**

/s/ Diane Phillips, Attorney-In Fact 05/15/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects vesting of shares subject to a restricted stock unit previously reported for which settlement was solely in cash.
- (2) Each restricted stock unit represents a contingent right to receive one share of Gran Tierra Energy Inc. common stock. The restricted stock units vest in three equal installments on May 12, 2016, May 12, 2017 and May 12, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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