Edgar Filing: CME GROUP INC. - Form 4

CME GROU Form 4	P INC.									
September 17	Л								omb af	PROVAL
FORM	UNITE	CD STATES		LITIES A			NGE C	OMMISSION	OMB Number:	3235-0287
Check thi if no long subject to	er STAT	EMENT O		0,			LOW	NERSHIP OF	Expires:	January 31 2005
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						1935 or Section	Estimated a burden hou response			
(Print or Type R	Responses)									
1. Name and A Pieper James	ddress of Reporti s V.	ing Person <u>*</u>	Symbol	Name and			ng	5. Relationship of Issuer	Reporting Pers	son(s) to
(Last)	(First)	(Middle)		Earliest Tr	-			(Checl	k all applicable)
				(Month/Day/Year) 09/14/2013				Director10% Owner XOfficer (give titleX Other (specify below) below) MD & CAO / MD & CAO		
CHICAGO,	(Street) IL 60606			ndment, Da th/Day/Year	-	1		6. Individual or Jo Applicable Line) _X_ Form filed by C Form filed by M Person	one Reporting Pe	rson
(City)	(State)	(Zip)	Tabl	e I - Non-D	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Execution any		3. Transactio Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ties Ad spose 4 and (A) or	cquired d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of
Common				Code V	Amount	(D)	Price	(Insu: 5 and 4)		
Stock Class A	09/14/2013			F	166 <u>(1)</u>	D	\$ 72.35	4,596	D	
Common Stock Class A	09/15/2013			F	75 <u>(2)</u>	D	\$ 72.35	4,521	D	
Common Stock Class A	09/15/2013			F	111 (2)	D	\$ 72.35	4,410	D	
Common Stock Class	09/15/2013			F	131 (2)	D	\$ 72.35	4,279	D	

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Common Stock Class A	09/16/2013	М	465	A	\$ 56.87	4,744	D
Common Stock Class A	09/16/2013	S	465 <u>(3)</u>	D	\$ 72.47	4,279	D
Common Stock Class A	09/16/2013	М	1,000	А	\$ 54.37	5,279	D
Common Stock Class A	09/16/2013	S	1,000 (3)	D	\$ 72.47	4,279	D
Common Stock Class A	09/16/2013	М	1,110	А	\$ 54.3	5,389	D
Common Stock Class A	09/16/2013	S	1,110 (3)	D	\$ 72.47	4,279	D
Common Stock Class A	09/16/2013	S	1,210 (3)	D	\$ 72.47	3,069	D
Common Stock Class A	09/16/2013	A	1,724	А	\$ 0	4,793	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactia Code (Instr. 8)	Securities	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and 4	ecı
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Ai or Ni

of

Non-Qualified	ф с 4 0	00/17/2012	X	1 1 1 0	00/15/0012(4)	00/15/2020	Common
Stock Option (right to buy)	\$ 54.3	09/16/2013	М	1,110	09/15/2013 <u>(4)</u>	09/15/2020	Stock 1 Class A
Non-Qualified Stock Option (right to buy)	\$ 54.37	09/16/2013	М	1,000	09/15/2013 <u>(5)</u>	09/15/2021	Common Stock 1 Class A
Non-Qualified Stock Option (right to buy)	\$ 56.87	09/16/2013	М	465	09/15/2013 <u>(6)</u>	09/15/2019	Common Stock Class A

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	rector 10% Owner Officer		Other			
Pieper James V. 20 S. WACKER DRIVE CHICAGO, IL 60606			MD & CAO	MD & CAO			
<u></u>							

Signatures

By: Margaret Austin Wright For: James Vincent Pieper	09/17/2013
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Pieper surrendered shares to the Company in order to fulfill tax withholding obligations upon the vesting of restricted stock on 9/14/2013.
- (2) Mr. Pieper surrendered shares to the Company in order to fulfill tax withholding obligations upon the vesting of restricted stock on 9/15/2013.
- (3) This sale was completed pursuant to the terms of a pre-arranged trading plan established in accordance with Rule 10b5-1.
- (4) These options were granted on September 15, 2010. They vest over a four-year period, with 25% vesting one year after the grant date and 25% vesting on that same date in each of the following three years, subject to acceleration or termination in certain circumstances.
- (5) These options were granted on September 15, 2011. They vest over a four-year period, with 25% vesting one year after the grant date and 25% vesting on that same date in each of the following three years, subject to acceleration or termination in certain circumstances.
- (6) As of September 15, 2013, this option vested with respect to 100% of the granted number of shares covered by the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.