Edgar Filing: CME GROUP INC. - Form 4

CME GROUP INC. Form 4 December 29, 201- FORM 4 LOUNTED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer subject to Section 16. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, State Public Utility Holding Company Act of 1935 or Section. 30(h) of the Investment Company Act of 1935) or Section. The public Utility Holding Company Act of 1935 or Section. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section. Soch of the Investment Company Act of 1940. State Investment Company Act of 1940.										
(Print or Type	e Responses)									
1. Name and Durkin Bry	Address of Reporting Per yan T	Symbo	uer Name a 1 GROUP I			8	. Relationship of F ssuer			
(Last)	(First) (Mide		of Earliest	-	-		(Check	all applicable))	
							Director 10% Owner X Officer (give title Other (specify below) below) President			
CHICAGO	Ionth/Day/Year) A				. Individual or Joint/Group Filing(Check pplicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting erson					
(City)	(State) (Zij	^{p)} Ta	able I - Non	-Derivativ	e Secu		red, Disposed of,	or Beneficiall	v Owned	
1.Title of Security (Instr. 3)	(Month/Day/Year) Example (Month/Day/Year)	ransaction Date 2A. Deemed nth/Day/Year) Execution Date, if any (Month/Day/Year)				cquired (A) o	 S. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) 	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common			Code V	Amount	(D)	Price	(Insu: 5 and 4)			
Stock Class A	12/28/2016		М	12,280	А	\$ 54.37	65,960	D		
Common Stock Class A	12/28/2016		S	12,280 (1)	D	\$ 116.3919 (2)	53,680	D		
Common Stock Class A	12/28/2016		М	2,606	А	\$ 54.3	56,286	D		
Common Stock	12/28/2016		S	2,606 (1)	D	\$ 116.3919	53,680	D		

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Class A

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Ar Underlying Se (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title 1
Non-Qualified Stock Option (right to buy)	\$ 54.3	12/28/2016		М	2,606	09/15/2014 <u>(3)</u>	09/15/2020	Common Stock Class A
Non-Qualified Stock Option (right to buy)	\$ 54.37	12/28/2016		М	12,280	09/15/2015 <u>(4)</u>	09/15/2021	Common Stock Class A

Reporting Owners

Relationships						
Director	10% Owner	Officer	Other			
		President				
By: Margaret Austin Wright For: Bryan Durkin						
	2	Director 10% Owner	Director 10% Owner Officer President			

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This sale was completed pursuant to the terms of a pre-arranged trading plan established in accordance with Rule 10b5-1.

(2)

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On December 28, 2016, Mr. Durkin sold an aggregate of 14,886 shares of CME Group Class A common stock. For reporting purposes, the sales prices within a \$1 range have been aggregated and the weighted average sales price has been reported. The price ranges were: \$115.87 to \$115.97; \$116.00 to \$116.87; and \$117.09 to \$117.18. The Company maintains a record of the transactions and copies will be provided upon request.

(3) On September 15, 2014, these options vested with respect to 100% of the granted number of shares covered by the option.

(4) On September 15, 2015, these options vested with respect to 100% of the granted number of shares covered by the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.