Onconova Therapeutics, Inc.

Form 3 July 24, 2013

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

GROOPMAN JEROME

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

07/24/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Onconova Therapeutics, Inc. [ONTX]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O ONCONOVA

THERAPEUTICS, INC., Â 375

PHEASANT RUN

(Street)

10% Owner \_X\_ Director Officer Other

(give title below) (specify below)

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

NEWTOWN. PAÂ 18940

(City) 1. Title of Security

(Instr. 4)

(State) (Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4 Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial

Date

Price of

Ownership Derivative (Instr. 5)

Derivative Security: Expiration Title Amount or Security Direct (D) Exercisable Number of Date

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				Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to purchase)	02/01/2012	02/01/2022	Common Stock	375	\$ 6.13	D	Â
Stock Option (right to purchase)	12/19/2012	12/20/2022	Common Stock	375	\$ 13.28	D	Â
Stock Option (right to purchase)	02/01/2014	02/02/2023	Common Stock	3,000	\$ 13.28	D	Â
Stock Option (right to purchase)	(1)	02/02/2023	Common Stock	18,754	\$ 13.28	D	Â
Stock Option (right to purchase)	04/25/2013	04/26/2023	Common Stock	375	\$ 14.74	D	Â
Stock Option (right to purchase)	05/14/2013	05/15/2023	Common Stock	375	\$ 14.74	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other	
GROOPMAN JEROME					
C/O ONCONOVA THERAPEUTICS, INC.	λv	Â	â	â	
375 PHEASANT RUN	ΑΛ	A	A	A	
NEWTOWN, PA 18940					

## **Signatures**

/s/ Ajay Bansal, as attorney o7/24/2013 in fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option, representing a right to purchase a total of 18,754 shares of Common Stock, is immediately exercisable and vests over 4 years (1) from February 1, 2013, with 25% vesting on February 1, 2014 and 75% vesting in 36 equal monthly installments thereafter, subject to continued service to the Issuer through each vesting date.



#### **Remarks:**

Two of Two.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2