Tree.com, Inc. Form 4 November 12, 2014

if no longer

subject to

Section 16.

Form 4 or

obligations

may continue.

See Instruction

Form 5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 Check this box

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Henderson Robin

(Last)

(City)

(First)

(Middle)

11115 RUSHMORE DR.

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

Tree.com, Inc. [TREE]

3. Date of Earliest Transaction

(Month/Day/Year) 11/07/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

X\_ Director 10% Owner Officer (give title Other (specify

below)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Securities

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

CHARLOTTE, NC 28277

1.Title of 2. Transaction Date 2A. Deemed

Security (Instr. 3)

(Zip)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

4. Securities TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

Beneficially Owned Following Reported (A) Transaction(s) or

(Instr. 3 and 4) Code V Amount (D) Price

5. Amount of 6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

> SEC 1474 (9-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form

displays a currently valid OMB control number.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise (Instr. 3) Price of

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

4. Transactionof Code Derivative (Instr. 8) Securities

5. Number 6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8. I **Underlying Securities** (Instr. 3 and 4)

Dei

Sec

(Ins

#### Edgar Filing: Tree.com, Inc. - Form 4

|   | Derivative<br>Security |            |      |     | Acqui<br>(A) or<br>Dispo<br>of (D)<br>(Instr.<br>and 5) | sed<br>3, 4, |                     |                    |                 |  |
|---|------------------------|------------|------|-----|---|--------------|---------------------|--------------------|-----------------|--|
|   |                        |            | Code | · V | (A)   | (D)          | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock<br>Units              | \$ 0                   | 11/07/2014 | A    |     | 422   |              | <u>(1)</u>          | <u>(1)</u>         | Common<br>Stock | 422                                    |
| Options to<br>Purchase<br>Common<br>Stock | \$ 47.03               | 11/07/2014 | A    |     | 526   |              | <u>(2)</u>          | 11/07/2024         | Common<br>Stock | 526                                    |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| .t                             | Director      | 10% Owner | Officer | Other |  |  |  |
| Henderson Robin                |               |           |         |       |  |  |  |
| 11115 RUSHMORE DR.             | X             |           |         |       |  |  |  |
| CHARLOTTE NC 28277             |               |           |         |       |  |  |  |

### **Signatures**

/s/ Katharine Pierce as Attorney-in-Fact for Robin
Henderson

11/12/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These restricted stock units vest in two equal annual installments beginning on November 7, 2015 in accordance with the terms of the original award agreement.
- (2) These options to purchase common stock vest in two equal annual installments beginning on November 7, 2015 in accordance with the terms of the original award agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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