## Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 4

TCW STRATEGIC INCOME FUND INC Form 4 October 16, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Landmann Laird Issuer Symbol TCW STRATEGIC INCOME (Check all applicable) FUND INC [TSI] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) TCW STRATEGIC INCOME 09/23/2015 Portfolio Manager FUND, INC., 865 S. FIGUEROA STREET, STE. 1800 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting LOS ANGELES, CA 90017 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Form: Direct Indirect Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities (Instr. 3) Code Beneficially (D) or Beneficial any (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 10/16/2015 Ρ 5,000 D 76,640 5 32 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

|  |             |                     |                    |            | _                         | ( D          |            |              |            |             | 0.15   |
|--|-------------|---------------------|--------------------|------------|---------------------------|--------------|------------|--------------|------------|-------------|--------|
| 1. Title of 2.                               |             | 3. Transaction Date |                    | 4.         | 5.                        | 6. Date Exer |            | 7. Title and |            | 8. Price of | 9. Nu  |
| Derivative                                   | Conversion  | (Month/Day/Year)    | Execution Date, if |            | ionNumber Expiration Date |              |            | unt of       | Derivative | Deriv       |        |
| Security                                     | or Exercise |                     | any                | Code       | of                        | (Month/Day   | (Year)     |              | rlying     | Security    | Secu   |
| (Instr. 3)                                   | Price of    |                     | (Month/Day/Year)   | (Instr. 8) |                           |              |            | Secu         |            | (Instr. 5)  | Bene   |
|  | Derivative  |                     |                    |            | Securities                |              |            | (Insti       | : 3 and 4) |             | Owne   |
|  | Security    |                     |                    |            | Acquired                  |              |            |              |            |             | Follo  |
|  |             |                     |                    |            | (A) or                    |              |            |              |            |             | Repo   |
|  |             |                     |                    |            | Disposed                  |              |            |              |            |             | Trans  |
|  |             |                     |                    |            | of (D)                    |              |            |              |            |             | (Instr |
|  |             |                     |                    |            | (Instr. 3,                |              |            |              |            |             |        |
|  |             |                     |                    |            | 4, and 5)                 |              |            |              |            |             |        |
|  |             |                     |                    |            |                           |              |            |              | Amount     |             |        |
|  |             |                     |                    |            |                           | D (          | Б · ./·    |              | or         |             |        |
|  |             |                     |                    |            |                           | Date         | Expiration | Title        | Number     |             |        |
|  |             |                     |                    |            |                           | Exercisable  | Date       |              | of         |             |        |
|  |             |                     |                    | Code V     | / (A) (D)                 |              |            |              | Shares     |             |        |
|  |             |                     |                    |            |                           |              |            |              |            |             |        |
|  |             |                     |                    |            |                           |              |            |              |            |             |        |
| Repo   | rtina O     | wners               |                    |            |                           |              |            |              |            |             |        |
|  |             |                     |                    |            |                           |              |            |              |            |             |        |
|  |             |                     |                    |            |                           |              |            |              |            |             |        |
| Reporting Owner Name / Address Relationships |             |                     |                    |            |                           |              |            |              |            |             |        |

| Reporting Owner Name / Address  |          |           |                   |       |
|---|----------|-----------|-------------------|-------|
|   | Director | 10% Owner | Officer           | Other |
| Landmann Laird<br>TCW STRATEGIC INCOME FUND, INC.<br>865 S. FIGUEROA STREET, STE. 1800<br>LOS ANGELES, CA 90017 |          |           | Portfolio Manager |       |
| Signatures  |          |           |                   |       |
| Patrick Dennis for Laird Landmann by POA 9/30/15  | dtd      | 10/1      | 6/2015            |       |

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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Date