Edgar Filing: MERIT MEDICAL SYSTEMS INC - Form 4

MERIT MEDICAL SYSTEMS INC Form 4 December 13, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Frost Ronald Issuer Symbol MERIT MEDICAL SYSTEMS INC (Check all applicable) [MMSI] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) 1600 WEST MERIT PARKWAY 12/09/2016 **Chief Operating Officer** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting SOUTH JORDAN, UT 84095 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial Owned (Month/Day/Year) (Instr. 8) (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common By 401(k) Stock. No Ι 16,053 plan (1)Par Value Common Stock. No 12/09/2016 Μ 15,000 A 15,000 D Par Value Common $\frac{1}{2625}$ 0 Stock, No 12/09/2016 S 15.000 D D Par Value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: MERIT MEDICAL SYSTEMS INC - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisat Expiration Date (Month/Day/Yea		7. Title and Arr Underlying Sec (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	A Title N o
Non-qualified stock options (right to buy)	\$ 13.75	12/09/2016		М	15,000	08/11/2012(2)	08/11/2018	Common Stock
Non-qualified stock options (right to buy)	\$ 13.14					07/31/2014(3)	07/31/2020	Common Stock
Non-qualified stock options (right to buy)	\$ 12.06					10/04/2015(4)	10/04/2021	Common 2 Stock
Non-qualified stock options (right to buy)	\$ 17.27					02/13/2016 <u>(5)</u>	02/13/2022	Common 2 Stock
Non-qualified stock options (right to buy)	\$ 16.05					01/28/2017 <u>(6)</u>	01/28/2023	Common , Stock

Reporting Owners

Reporting Owner Name / Address		Relationships						
Toporong o whore i w		Director	10% Owner	Officer	Other			
Frost Ronald 1600 WEST MERIT F SOUTH JORDAN, UT			Chief Operating Officer					
Signatures								
Ronald Frost	12/13/201	6						

Date

**Signature of

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents plan holdings as of 12/09/2016.
- (2) Become exercisable in equal annual installments of 20% commencing 08/11/2012.
- (3) Become exercisable in equal annual installments of 20% commencing 07/31/2014.
- (4) Become exercisable in equal annual installments of 20% commencing 10/04/2015.
- (5) Become exercisable in equal annual installments of 20% commencing 02/13/2016.
- (6) Become exercisable in equal annual installments of 20% commencing 01/28/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.