

LAMPROPOULOS FRED P
Form 4
June 06, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
LAMPROPOULOS FRED P

2. Issuer Name **and** Ticker or Trading
Symbol

MERIT MEDICAL SYSTEMS INC
[MMSI]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

1600 W MERIT PARKWAY

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
06/04/2018

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President & CEO

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

SOUTH JORDAN, UT 84095

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, No Par Value					94,278	I	By 401(k) Plan ⁽¹⁾
Common Stock, No Par Value					90	I	By spouse as custodian for child.
Common Stock, No Par Value					13,086	I	By spouse.
	06/04/2018		M ⁽²⁾		120,000	A	1,190,869

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Common
Stock, No
Par Value

\$
13.75

Common
Stock, No 06/04/2018 F⁽²⁾ 70,878 D \$ 52 1,119,991 D
Par Value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and A Underlying S (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Non-qualified stock options (right to buy)	\$ 13.75	06/04/2018		M	120,000	08/11/2012 ⁽³⁾	08/11/2018	Common Stock
Non-qualified stock options (right to buy)	\$ 12.06					10/04/2015 ⁽⁴⁾	10/04/2021	Common Stock
Non-qualified stock options (right to buy)	\$ 17.27					02/13/2016 ⁽⁵⁾	02/13/2022	Common Stock
Non-qualified stock options (right to buy)	\$ 17.27					02/13/2016 ⁽⁵⁾	02/13/2022	Common Stock
Non-qualified stock options (right to buy)	\$ 16.05					01/28/2017 ⁽⁷⁾	01/28/2023	Common Stock
Non-qualified stock options (right to buy)	\$ 16.05					01/28/2017 ⁽⁷⁾	01/28/2023	Common Stock

Non-qualified stock options (right to buy)	\$ 28.2	04/14/2018 ⁽⁸⁾	04/14/2029	Common Stock
Non-qualified stock options (right to buy)	\$ 28.2	04/14/2018 ⁽⁸⁾	04/14/2029	Common Stock
Non-qualified stock options (right to buy)	\$ 44.8	03/02/2019 ⁽⁹⁾	03/02/2025	Common Stock
Non-qualified stock options (right to buy)	\$ 44.8	03/02/2019 ⁽⁶⁾	03/02/2025	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LAMPROPOULOS FRED P 1600 W MERIT PARKWAY SOUTH JORDAN, UT 84095	X		President & CEO	

Signatures

Brian G. Lloyd,
Attorney-in-Fact

06/06/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents plan holdings as of 6/5/2018.

(2) Stock options were exercised in a swap transaction with the Company. 70,878 shares of common stock were surrendered for payroll taxes and payment of the option price. No shares were sold in the open market.

(3) Becomes exercisable in equal annual installments of 20% commencing 8/11/2012.

(4) Becomes exercisable in equal annual installments of 20% commencing 10/4/2015.

(5) Becomes exercisable in equal annual installments of 20% commencing 2/13/2016.

(6) Represents derivative securities held by the spouse of the reporting person. The reporting person expressly disclaims beneficial ownership of the securities owned by his spouse.

(7) Becomes exercisable in equal annual installments of 20% commencing 1/28/2017.

(8) Becomes exercisable in equal annual installments of 20% commencing 4/14/2018.

(9) Becomes exercisable in equal annual installments of 20% commencing 3/2/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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